

* ANALYSIS OF ALGORITHMS *

* Algorithm:

Algorithm is a finite sequence of steps to be followed to complete a particular task.

* Characteristics of an algorithm:

- 1. Input 3. Finiteness 5. Effectiveness
- 2. Output 4. Definiteness

* Performance of an algorithm:

Can be analysed in two ways:

- 1. Time Complexity 2. Space Complexity.

* Order of growth:

Best Algorithm:

Consider two algorithms for a same problem with running times $f(n)$ and $g(n)$. which one is better?

Compare $f(n)$ and $g(n)$ asymptotically (i.e, for large n).

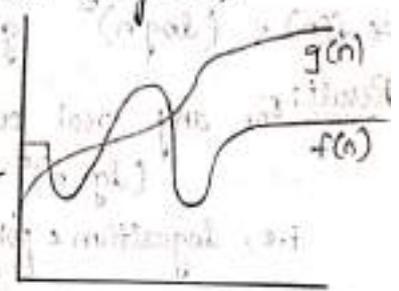
if $f(n) \leq g(n) \quad (n \rightarrow \infty)$

$f(n)$ is best choice

else

$g(n)$ is best choice

$f(n) = n^2$	$g(n) = n^2$
2 2	4 4
3 3	9 9



$f(n) \leq g(n) \quad \forall n \geq 1$

$n^k \leq n^m$ if $k \leq m$

$f(n) = n^2$ $g(n) = 2^n$

1	1	2
2	4	4
3	9	8
4	16	16
5	25	32
6	36	64

$n^2 \leq 2^n \quad \forall n \geq 4$

$f(n) = n^3$ $g(n) = 2^n$

1	1	2
2	8	4
3	27	8
4	64	16
5	125	32
6	216	64
7	343	128
8	512	256
9	729	512
10	1000	1024
11	1331	2048

$n^3 \leq 2^n \quad \forall n \geq 10$

* Mathematical preliminaries:

$$a = b^x \Rightarrow x = \log_b a \quad [\text{Eg: } 2^x = n \Rightarrow x = \log_2 n]$$

* Natural algorithm: $\ln a = \log_e a$

* Binary algorithm: $\lg a = \log_2 a$

Result: * * * $\log(n!) \approx n \log n$

* $\log_y x = \frac{\log x}{\log y}$ Eg: $\log_2 64 = \frac{\log 64}{\log 2} = 6$

* $\log x^m = m \log x$ Eg: $\log_2 64 = \log_2 2^6 = 6 \cdot \log_2 2 = 6 \cdot 1 = 6$

* For $n \in \mathbb{Z}^+$, $1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} \approx \ln n = \log_e n$

* For all $p \geq 0$, $1^p + 2^p + 3^p + \dots + n^p \approx \frac{1}{p+1} n^{p+1}$

* $f(n) = \log n$

$g(n) = n$

* $f(n) = (\log n)^2$

$g(n) = n$

1 0 1

2 1 2

4 2 4

8 3 8

$\log n \leq n$

4 $(\log 4)^2 = 2^2 = 4$

8 $(\log 8)^2 \leq n$

16

25

32

32

36

64

* $f(n) = (\log n)^2$

$g(n) = \sqrt{n}$

$\Rightarrow (\log n)^2 \leq \sqrt{n}$

Result:

For any real constants $a > 0, b > 0, c > 1$,

$(\log n)^a < n^b < c^n \rightarrow (\log n)^{100} \leq n^{0.2}, (\log n)^{10000} \leq n^2$

i.e., logarithm < polynomial < exponent $\rightarrow n^2 \leq 2^n, n^{200} \leq 2^n, n^{200000} \leq 3^n$

$n^{10000} \leq (1.00002)^n$

$22255 \leq \log n$

* $f(n) = 2^n$

$g(n) = n!$

1 2 1

2 4 2

3 8 6

4 16 24

5 32 120

6 64 720

$2^n \leq n!$

$(22)^n \leq n!$

$(100)^n \leq n!$

* $f(n) = n!$

$g(n) = n^n \Rightarrow n! \leq n^n$

Result: For any constant $c > 1$,

$c^n < n! < n^n$

For any constants $a > 0, b > 0, c > 1$,

$(\log n)^a < n^b < c^n < n! < n^n$

* Dominance ranking of basic functions:-

$\frac{1}{n^2}, \frac{1}{n}, 1, 100, 225, \log(\log n), \log n, n^{0.1}, n^{0.2}, \sqrt{n}, n^{0.7}, n$

$n \cdot \log n, n^2 \log(\log n), n^2 \log n, n^{2.5}, n^3, n^{100}, (1.2)^n, 2^n, 3^n, (24)^n$

$(50)^n, (100)^n, n!, n^n$

Q. $10, \sqrt{n}, n, \log_2 n, \frac{100}{n}$

$\frac{100}{n}, 10, \log_2 n, \sqrt{n}, n$

01-07-2025 * Frequently used functions:- e^n value = $(2.7)^n$

Tuesday $1 < \log n < n < n \log n < n^2 < n^3 < 2^n$

Eg: 1) $(\log n)^{22} < n^{0.1}$ 3) $(254)^n < n!$

2) $n^{20022} < 2^n$ 4) $n! < n^n$

Q: (A) $n^{1/3}$ (B) e^n (C) $n^{9/4}$ (D) $n \log^9 n$ (E) 1.0000001^n
 A, D, C, E, B $n^{1/3}$ $n \log^9 n$ $n^{9/4}$ $(1.0000001)^{n^4}$

Q: $a(n) = 2^n$, $b(n) = n^{3/2}$, $c(n) = n \log_2 n$, $d(n) = n \log^9 n$, $e(n) = n \cdot n^{3/4}$, $f(n) = n \log n$

$n \log_2 n$	$n^{3/2}$	$n \log n$	2^n	$\log \square$ $\log \square$
$n \log_2 n$	$n \cdot n^{1/2}$	2^n	$n \log_2 n$	
$n^{3/2}$	$n \log n$	$\log 2^n$	$\log_2 n$	
		$n \log_2 2$	$\log_2 n \cdot \log_2 n$	

→ base same powers to
 3/2 is constant so $n \log n$

Q: $f_1 = 10^n$, $f_2 = n \log n$, $f_3 = n^{\sqrt{n}}$
 $n \log n$ $n^{\sqrt{n}}$ 10^n

Q: $f_1 = n^{3.5}$, $f_2 = \sqrt{2}n$, $f_3 = (\log n)^{55}$, $f_4 = n \log n$, $f_5 = 55^n$, $f_6 = n^3 \log n$
 $(\log n)^{55}$ $\sqrt{2}n$ $n \log n$ $n^3 \log n$ $n^{3.5}$ 55^n

Q: Two alternative packages A & B are available for processing a database having 10^k records. Package A requires $0.0001n^2$ time units & B requires $10n \log_{10} n$ time units to process n records. What is the smallest value of k for which package B will be preferred over A?

- A) 12 B) 10 C) 6 D) 5

(A) $0.0001 n^2$ (B) $10 \cdot n \cdot \log_{10} n$ 10^k records

$B \leq A$
 $10 \cdot n \cdot \log_{10} n \leq 0.0001 n^2$
 $10 \cdot 10^k \log_{10} 10^k \leq 10^{-4} (10^k)^2$
 $10^{k+1} \cdot k \cdot \log_{10} 10 \leq 10^{-4} \cdot 10^{2k}$
 $10^{k+1} \cdot k \cdot 1 \leq 10^{2k-4}$
 $k \cdot 10^{k+1} \leq 10^{2k-4}$

$$k \leq \frac{10^{2k-4}}{10^{k+1}}$$

$$k \leq 10^{2k-4-k+1}$$

$$k \leq 10^{k-5}$$

	$k \leq 10^{k-5}$		$k \leq 10^{k-5}$
$k=1$	$1 \leq 10^{-5}$ $1 \leq 10^{-4}$ false	$k=4$	$4 \leq 10^{-5}$ $4 \leq 10^{-1}$ false
$k=2$	$2 \leq 10^{-5}$ $2 \leq 10^{-3}$ false	$k=5$	$5 \leq 10^{-5}$ $5 \leq 10^0$ false
$k=3$	$3 \leq 10^{-5}$ $3 \leq 10^{-2}$ false	$k=6$	$6 \leq 10^{-5}$ $6 \leq 10^1$ True

* Asymptotic time complexity:

1. Asymptotic time complexity:

Running time of an algorithm as a function of input size n for large n .

2. Memory Asymptotic space complexity:

Memory space requirement of an algorithm as a function of input size n for large n .

* Asymptotic Notation:-

- Asymptotic notation is a way to describe asymptotic complexity.
- Describe the running time / memory space requirement of an algorithm for large n ($n \rightarrow \infty$).
- Abstracts away low-order terms and constant factors.

$$\frac{10n^3 + 20n^2 + 20000}{10^3 n^3}$$

n^3

$$\frac{n(\log n)^{10} + 200n^2 + n}{n^2}$$

n^2

* Asymptotically positive:-

The function $f(n)$ is asymptotically positive if $\exists n_0$:

$$\forall n \geq n_0, f(n) > 0$$

02-07-2023 Wednesday

- Asymptotic Notation**
1. Big-oh Notation (O) Akura
 2. Big-omega Notation (Ω) Takura
 3. Theta Notation (Θ) exact
 4. Little-oh Notation (o) equal kantha koncham akura
 5. Little-omega Notation (ω) equal kantha koncham takura

Eg: 1) $f(n) = 4n^2 + 100n + 225$
 $f(n) = 4n^2$
 $f(n) = n^2$

① $f(n) = O(n^2)$, $f(n) = O(n^3)$, $f(n) = O(2^n)$
 ② $f(n) = \Omega(n^2)$, $f(n) = \Omega(n)$, $f(n) = \Omega(\log n)$
 ③ $f(n) = \Theta(n^2)$
 ④ $f(n) = o(n^2)$, $f(n) = o(n^{2.001})$
 ⑤ $f(n) = \omega(n)$, $f(n) = \omega(n^{1.899})$

Eg: 2) $f(n) = 2n^3 + 1524n^2 + 1000000$
 $f(n) = 2n^3$
 $f(n) = n^3$

① $f(n) = O(n^3)$, $f(n) = O(2^n)$, $f(n) = O(3^n)$
 ② $f(n) = \Omega(n^3)$, $f(n) = \Omega(n^2)$, $f(n) = \Omega(n)$
 ③ $f(n) = \Theta(n^3)$
 ④ $f(n) = o(n^3)$, $f(n) = o(n^{3.0})$
 ⑤ $f(n) = \omega(n)$, $f(n) = \omega(\log n)$

Eg: 3) $f(n) = 100n^2 + 2500n$, $g(n) = 24(\log n)^{100} + n^2 \log n$
 $f(n) = n^2$ (small)
 $g(n) = n^2 \log n$ (big)

- ① $f = O(g)$, $f = o(g)$
 ② $f = \Omega(g)$, $g = \omega(f)$, $g = \Theta(f)$

Q: $f(n) = 2^n$ (big) and $g(n) = n^5$ (small)
 ① $f(n) = O(g(n))$ ✓
 ② $f(n) = \Omega(g(n))$ ✓

Q: $f(n) = n^2 \log n$ (big) & $g(n) = n(\log n)^{10}$ (small)
 ① $f(n) = O(g(n))$ ✓
 ② $f(n) = \Omega(g(n))$ ✓

Q: $f(n) = n \log_2 n$
 $g(n) = n^2$
 ① $f(n) = O(g(n))$ ✓
 ② $f(n) = \Omega(g(n))$ ✓

Q: $f(n) = 3n^{\sqrt{n}}$, $g(n) = 2^{\sqrt{n} \log_2 n}$, $h(n) = n!$
 A) $h(n)$ is $O(f(n))$ ✓
 B) $h(n)$ is $O(g(n))$ ✓
 C) $g(n)$ is not $O(f(n))$
 D) $f(n)$ is $O(g(n))$

$n^{\sqrt{n}}$ vs $2^{\sqrt{n} \log_2 n}$ vs $n!$
 $\log_2 n^{\sqrt{n}}$ vs $\log_2 2^{\sqrt{n} \log_2 n}$ vs $\log_2 n!$
 $\sqrt{n} \cdot \log_2 n$ vs $\sqrt{n} \log_2 n \cdot \log_2 n$ vs $n \log n$
 $n^{0.5} \log_2 n$ vs $n^{0.5} \log_2 n \cdot \log_2 n$ vs $n \log_2 n$
 f(n) is $O(g(n))$

Q: $f(n) = 2^n$, $g(n) = n!$, $h(n) = n \log_2 n$
 $\log_e 2^n$ vs $\log_2 n!$ vs $\log_2 n \log_2 n$

$$n \log_2^2$$

$$n \log n$$

$$\log_2 n \log n$$

$$n$$

$$n \log_2 n$$

$$(\log_2 n)^2$$

$$H_2$$

$$H_1$$

$$\textcircled{D} \quad h(n) = O(f(n)); \quad g(n) = \Omega(f(n));$$

$$Q: \quad f(n) = n^2 \log n$$

$$g(n) = n(\log n)^{10}$$

$$A) \quad f(n) = O(g(n)) \quad \& \quad g(n) \neq O(f(n))$$

$$B) \quad f(n) \neq O(g(n)) \quad \& \quad g(n) \neq O(f(n))$$

$$C) \quad g(n) = O(f(n)) \quad \& \quad f(n) \neq O(g(n))$$

$$D) \quad f(n) = O(g(n)) \quad \& \quad g(n) = O(f(n))$$

$$Q: \quad f(n) = n$$

$$g(n) = n^2$$

MSQ Gate

$$A) \quad f(n) \in O(g(n))$$

$$B) \quad f(n) \in \Omega(g(n))$$

$$C) \quad f(n) \in o(g(n))$$

$$D) \quad f(n) \in \Theta(g(n))$$

07-07-2025

Monday

MICRO SYLLABUS

Course code: 23CS3301

Year: II

Semester: I

Course category: Professional
Core Course

Branch: CSE

Course Type: Theory

Credits: 3

L-T-P: 3-0-0

Prerequisite: Data Structures

Continuous internal

Semester End

through c/object

evaluation : 30

Evaluation : 70

Total marks : 100

COURSE OUTCOMES

CO1: Understand the fundamental concepts of algorithm analysis and design techniques.

CO2: Apply various algorithm design techniques for solving problem.

CO3: Apply concepts of trees and graphs for solving problems effectively.

CO4: Analyze the given scenario and choose appropriate algorithm design for solving problem.

Syllabus Content

Unit-1. * Analysis of algorithms

* AVL-Tree

* B-Tree



- Unit-2. * Leap, Trees
- * Graphs
- Unit-3. * Divide and conquer
- * Greedy Method
- Unit-4. * Dynamic programming
- Unit-5. * Back tracking
- * Branch and Bound
- * P & NP (topic)

Boots
 show computer algorithm in c++
 Fundamentals of computer algorithm
 ↓
 Rajasekaran

* Algorithm:

- . An algorithm is a finite set of instructions that, if followed, accomplishes a task.
- . An algorithm is composed of a finite set of steps, each of which may require one or more operations.

* Characteristics of an algorithm:

1. Input: takes zero or more inputs.
2. Output: Output will be atleast once [1 or more], result based on the provided inputs.
3. Definiteness: Each step or instruction must be clear, unambiguous and precisely defined.
4. Finiteness: It should terminate false or true. But process should be stop.
5. Effectiveness: Each instruction must be feasible. (perfect)

* Order of growth of functions:

$$1 < \log(\log n) < \log n < \sqrt{n} < n < n \log(\log n) < n \log n < n^2 < n^2 \log n < n^3 < 2^n < n! < n^n$$

* How to analysis an algorithm? or write about performance algorithm? evaluation.

- . Performance of an algorithm is measured in 2 ways:
 1. Time complexity:
 The amount of time that is needed to execute an algorithm is called time complexity. In general, time complexity of an algorithm is measured in terms of the number of

basic operations performed by an algorithm.
 Egr- factorial of a number.

Algorithm/Pseudo code	S/e	freq	total
Algorithm fact(n)	0	-	0
{	0	-	0
f:=1;	1	1	1
for i:=1 to n do	1	n+1	n+1
f:=f*i;	2	n	2n
return (f);	1	1	1
}	0	-	0

Time complexity = $3n + 3$

2. Space complexity:

- The amount of memory or space that is needed to execute an algorithm is called space complexity.
- The space complexity $S(P)$ of any algorithm P can be written as $S(P) = C + Sp(I)$ where C : constant that denotes fixed part.

$Sp(I)$: Variable part that depends on instance characteristics.

Eg:- Sum of numbers in an array.

```

Pseudo code
//a: Array of size n
Algorithm ArraySum(a, n)
{
  S:=0;
  for i:=1 to n do
    S:=S+a[i];
  return (S);
}
    
```

constant space:
 1) Code: Assume 100 bytes
 2) 3 variables: $3 \times 4 = 12$ bytes
 Total 112 bytes
 Variable space:
 $Sp(I) = 4 \times n = 4n$ bytes
 \therefore Space complexity = $C + Sp(I)$
 $= 112 + 4n$

* Explain the methods to calculate T.C?

- The amount of time that is needed to execute an algorithm is called time complexity.
- In general, time complexity of a algorithm is measured in terms of number of operations performed by algorithm.
- There are two methods to calculate the number of operations performed by a algorithm.

1) By using a count variable:

• In this method, we introduce a new variable count, into the program.

• This is a global variable with initial value 0.

• Statement to increment count variable by the appropriate amount are introduced into the program. This is done so that each time a statement in the original program is executed, count is incremented.

Eg: Factorial of a number.

Pseudo code:

Algorithm Fact(n)

```
{
  f := 1;
  for i := 1 to n do
    f := f * i;
  return (f);
}
```

After introducing count variable:

Algorithm Fact(n)

```
{
  f := 1;
  count = count + 1; // For assignment
  for i := 1 to n do
  {
    count = count + 1; // For for loop
    f := f * i;
    count = count + 2; // For assignment
  }
  count = count + 1; // For last time of for loop
  return (f);
}
```

2) By using step count method:

• In this method, to determine the step count of an algorithm, we build a table in which we list the total number of steps contributed by each statement. we use the following 3 quantities:

s/e: Number of operations per execution of the statement.

freq: No. of time, the statement is executed.

total: $s/e * freq$

Eg: Factorial of a number.

Pseudo code	s/e	freq	total
Algorithm Fact(n)	0	-	0
{	0	-	0
f := 1;	1	1	1
for i := 1 to n do	1	n+1	n+1
f := f * i;	2	n	2n
return (f);	1	1	1
}	0	-	0

Time Complexity = $3n + 3 = O(n)$

08-07-2025 write about asymptotic notations.
 Tuesday. Asymptotic time complexity is the running time of *
 an algorithm as a function of input size n for large n .

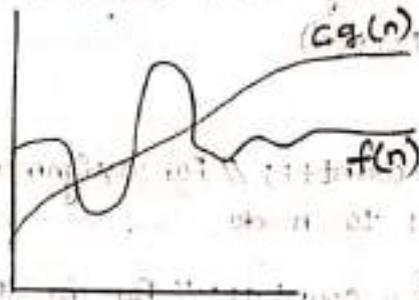
- Asymptotic notation is a way to describe asymptotic complexity.
- There are 5 asymptotic notations:-

1. Big-oh Notation (O):

$f(n)$ is $O(g(n))$ means

there exist positive constants c and n_0 , such that

$$f(n) \leq cg(n) \quad \forall n \geq n_0$$



- $g(n)$ has larger or equal order of growth as $f(n)$.

- $g(n)$ is an asymptotic upper bound for $f(n)$.

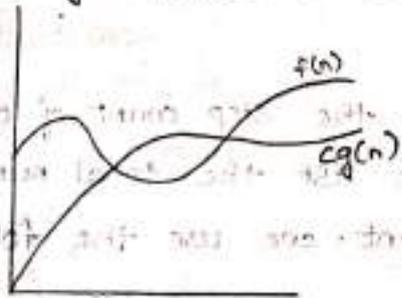
Eg: $2n^2 + 5n = O(n^2)$

2. Big-omega Notation (Ω):

$f(n) \in \Omega(g(n))$ means

there exist positive constants c and n_0 , such that

$$0 \leq cg(n) \leq f(n) \quad \forall n \geq n_0$$



- $g(n)$ has smaller or equal order of growth as $f(n)$.

- $g(n)$ is asymptotic lower bound for $f(n)$.

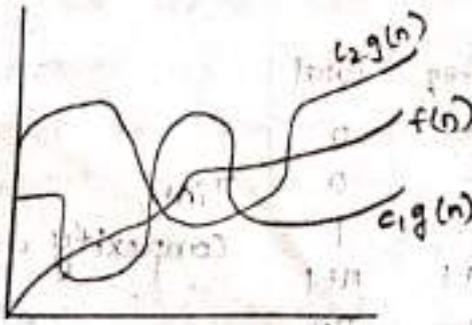
Eg: $2n^2 + 5n = \Omega(n^2)$

3. Theta Notation (Θ):

$f(n)$ is $\Theta(g(n))$ means

there exist +ve constants c_1, c_2 & n_0 , such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \quad \forall n \geq n_0$$



- $f(n)$ grows with same order as $g(n)$.

- $g(n)$ is an asymptotically tight bound for $f(n)$.

Eg: $2n^2 + 5n = \Theta(n^2)$

* Small Notation:-

o - notation:

$f(n)$ is $o(g(n))$ if $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

Eg:- $2n^2 + 5n = o(n^3)$

ω - notation:

$f(n)$ is $\omega(g(n))$ if $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$

Eg:- $2n^2 + 5n = \omega(n)$

* Problems on notation:

1. Prove that $4n^2 + 20n + 100 = O(n^2)$

* Def $f(n) = O(g(n))$ means $\exists c, n_0$ such that

$f(n) \leq c \cdot g(n) \forall n \geq n_0$

* Suppose $f(n) = 4n^2 + 20n + 100$

$f(n) = 4n^2 + 20n + 100$

$\leq 4n^2 + 20n + n \quad \forall n \geq 100$

$= 4n^2 + 21n \quad \forall n \geq 100$

$\leq 4n^2 + n \cdot n \quad \forall n \geq \max\{100, 21\}$

$= 4n^2 + n^2$

$= 5n^2 \quad \forall n \geq 100$

$\therefore f(n) \leq 5n^2 \quad \forall n \geq 100$

So $c = 5, n_0 = 100$

Hence $4n^2 + 20n + 100 = O(n^2)$

2. If $f(n) = 3n^2 + 5n + 10$ then p.T $f(n) = O(n^2)$

$f(n) = 3n^2 + 5n + 10$

$\leq 3n^2 + 5n + n \quad \forall n \geq 10$

$= 3n^2 + 6n \quad \forall n \geq 10$

$\leq 3n^2 + n \cdot n \quad \forall n \geq \max\{10, 6\}$

$= 3n^2 + n^2 \quad \forall n \geq 10$

$= 4n^2 \quad \forall n \geq 10$

$\therefore f(n) \leq 4n^2 \quad \forall n \geq 10$

So $c = 4, n_0 = 10$

Hence $f(n) = O(n^2)$

3. PT $5n^2 + 40n + 20 = O(n^2)$

Suppose $f(n) = 5n^2 + 40n + 20$

$\leq 5n^2 + 40n + n$

$= 5n^2 + 41n$

$\leq 5n^2 + n \cdot n$

$\forall n \geq 20$

$\forall n \geq 20$

$\forall n \geq 20$

$\forall n \geq \max\{20, 41\}$

$$\leq 5n^2 + n \cdot n \quad \forall n \geq \max\{20, 4\}$$

$$= 5n^2 + n^2 \quad \forall n \geq 4$$

$$f(n) \leq 6n^2 \quad \forall n \geq 4$$

$$\text{So } c=6, n_0=4$$

$$\text{Hence } 5n^2 + 40n + 20 = O(n^2)$$

$$4. \text{ PT } 4n^2 + 20n + 5 = O(n^3)$$

$$f(n) = 4n^2 + 20n + 5$$

$$\leq 4n^2 + 20n + n \quad \forall n \geq 5$$

$$\leq 4n^2 + 21n \quad \forall n \geq 5$$

$$\leq 4n^2 + n \cdot n \quad \forall n \geq 21$$

$$= 4n^2 + n^2 \quad \boxed{n^2 \leq n^3 \quad \forall n \geq 1}$$

$$= 5n^2$$

$$\leq 5n^3 \quad \forall n \geq \max\{21, 1\}$$

$$= 5n^3 \quad \forall n \geq 21$$

$$\therefore 4n^2 + 20n + 5 \leq 5n^3 \quad \forall n \geq 21$$

$$\text{So } c=5, n_0=21$$

$$\text{Hence } 4n^2 + 20n + 5 = O(n^3)$$

09-07-2025
Wednesday

$$1) \text{ PT } 4n^2 + 50n + 20 = O(2^n)$$

$$f(n) = 4n^2 + 50n + 20$$

$$f(n) \leq 4n^2 + 50n + n \quad \forall n \geq 20$$

$$= 4n^2 + 51n \quad \forall n \geq 20$$

$$\leq 4n^2 + n \cdot n \quad \forall n \geq \max\{20, 51\}$$

$$= 4n^2 + n^2 \quad \forall n \geq 51$$

$$= 5n^2 \quad \forall n \geq 51 \quad \boxed{n^2 \leq 2^n \quad \forall n \geq 4}$$

$$\leq 5 \cdot 2^n \quad \forall n \geq \max\{51, 4\}$$

$$= 5 \cdot 2^n \quad \forall n \geq 51$$

$$4n^2 + 50n + 20 \leq 5 \cdot 2^n \quad \forall n \geq 51$$

$$\text{So } c=5, n_0=51$$

$$\therefore 4n^2 + 50n + 20 = O(2^n)$$

$$2) 5 \cdot 2^n + 40n^2 + 3n = O(2^n)$$

$$f(n) = 5 \cdot 2^n + 40n^2 + 3n$$

$$\leq 5 \cdot 2^n + 40n^2 + n^2 \quad \forall n \geq 3$$

$$= 5 \cdot 2^n + 41n^2 \quad \forall n \geq 3$$

$$\leq 5 \cdot 2^n + n \cdot n^2 \quad \forall n \geq 4$$

$$= 5 \cdot 2^n + n^3 \quad \forall n \geq 4$$

$$= 5 \cdot 2^n + 2^n \quad \forall n \geq 4$$

$$= 6 \cdot 2^n \quad \forall n \geq 4$$

$$\text{So } c=6, n_0=4$$

$$3) \text{ P.T } 5n^2 - 20n + 35 = O(n^2)$$

$$f(n) = 5n^2 - 20n + 35$$

$$\leq 5n^2 - 20n + n \quad \forall n \geq 35$$

$$= 5n^2 - 19n \quad \forall n \geq 35$$

$$\leq 5n^2 \quad \forall n \geq 35$$

$$f(n) \leq 5n^2 - 20n + 35 \leq 5n^2$$

$$4) \text{ P.T } 4n^2 + 10n - 22 = O(n^2)$$

$$f(n) = 4n^2 + 10n - 22$$

$$\leq 4n^2 + 10n$$

$$= 4n^2 + n \cdot n \quad \forall n \geq 10$$

$$= 5n^2 \quad \forall n \geq 10$$

$$\therefore f(n) \leq 5n^2 \quad \forall n \geq 10$$

$$\text{So } c=5, n_0=10$$

$$\text{Hence } 4n^2 + 10n - 22 = O(n^2)$$

Problems on omega notation:

$$1) \text{ PT } 5n^2 + 2n + 30 = \Omega(n^2)$$

$$\text{w.k.T } n^2 \leq n^2$$

$$\leq 5 \cdot n^2$$

$$\leq 5n^2 + 2n$$

$$\leq 5n^2 + 2n + 30$$

$$\therefore n^2 \leq 5n^2 + 2n + 30 \quad \forall n \geq 1$$

$$\text{So } c=1, n_0=1$$

$$\therefore 5n^2 + 2n + 30 = \Omega(n^2)$$

$$2) \text{ PT } 4n^2 - 50n + 22 = \Omega(n^2)$$

$$\text{w.k.T } n^2 \leq n^2$$

$$\Rightarrow n^2 \leq 4n^2$$

$$\Rightarrow \boxed{n^2 \leq 4n^2 - 50n} \quad \forall n \geq 17$$

$$\Rightarrow n^2 \leq 4n^2 - 50n + 22 \quad \forall n \geq 17$$

$$\text{So } c=1, n_0=17$$

$$\therefore 4n^2 - 50n + 22 = \Omega(n^2)$$

$$\begin{aligned} n^2 &\leq 4n^2 - 50n \\ n^2 - 4n^2 &\leq -50n \\ -3n^2 &\leq -50n \\ 50n &\leq 3n^2 \\ 50 &\leq 3n \\ \frac{50}{3} &\leq n \\ 16.6 &\leq n \\ 17 &\leq n \end{aligned}$$

* Problems on Theta Notation:

PT $3n^2 + 20n + 100 = \Theta(n^2)$

$$\begin{aligned} \textcircled{1}: f(n) &= 3n^2 + 20n + 100 \\ &\leq 3n^2 + 20n + n \quad \forall n \geq 100 \\ &\leq 3n^2 + 21n \quad \forall n \geq 100 \\ &\leq 3n^2 + n \cdot n \quad \forall n \geq \max\{100, 21\} \\ &\leq 4n^2 \quad \forall n \geq 100 \end{aligned}$$

$$\therefore \boxed{f(n) \leq 4n^2 \quad \forall n \geq 100} \rightarrow \textcircled{1}$$

$$\textcircled{2}: \text{WKT } n^2 \leq n^2 \quad \forall n \geq 1$$

$$n^2 \leq 3n^2 \quad \forall n \geq 1$$

$$n^2 \leq 3n^2 + 20n \quad \forall n \geq 1$$

$$n^2 \leq 3n^2 + 20n + 100 \quad \forall n \geq 1$$

$$\boxed{1 \cdot n^2 \leq f(n) \quad \forall n \geq 1}$$

$\rightarrow \textcircled{2}$

from (1) & (2);

$$1 \cdot n^2 \leq f(n) \leq 4n^2 \quad \forall n \geq \max\{100, 1\}$$

$$1 \cdot n^2 \leq f(n) \leq 4n^2 \quad \forall n \geq 100$$

$$\text{So, } c_1 = 1, c_2 = 4, n_0 = 100$$

Hence $f(n) = \Theta(n^2)$

$$\therefore 3n^2 + 20n + 100 = \Theta(n^2)$$

* Problems on Small notation:

1. PT $4n^2 + 30n + 100 = o(n^3)$

Def we say $f(n) = o(g(n))$ if $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$

$$\text{Suppose } f(n) = 4n^2 + 30n + 100 \\ g(n) = n^3$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{4n^2 + 30n + 100}{n^3}$$

$$= \lim_{n \rightarrow \infty} \frac{n^3 \left[4 + \frac{30}{n} + \frac{100}{n^2} \right]}{n^3}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{n} \left[4 + \frac{30}{n} + \frac{100}{n^2} \right]$$

$$= \lim_{n \rightarrow \infty} \left(\frac{4}{n} + \frac{30}{n^2} + \frac{100}{n^3} \right)$$

$$= 0 + 0 + 0 = 0$$

[omega(w)]

2. PT $5n^2 + 20n + 10 = \omega(n)$

Def we say that $f(n) = \omega(g(n))$ if $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = 0$

$$\text{Suppose } f(n) = 5n^2 + 20n + 10 \\ g(n) = n$$

$$\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = \lim_{n \rightarrow \infty} \frac{n}{5n^2 + 20n + 10}$$

$$= \lim_{n \rightarrow \infty} \frac{n}{n^2 \left[5 + \frac{20}{n} + \frac{10}{n^2} \right]}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{n \left[5 + \frac{20}{n} + \frac{10}{n^2} \right]}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{5 + \frac{20}{n} + \frac{10}{n^2}}$$

$$= \frac{1}{5 + 0 + 0}$$

$$= 0$$

* Examples on Time complexity:

• Example 01: Addition of two numbers

Algorithm/Pseudocode	S/c	freq	total
Algorithm Sum(m,n)	0	1	0
{	0	1	0
S := m+n;	2	1	2
return(s);	1	1	1
}	0	0	0

Time complexity = 3

• If there is no loops, TC is order of 1 [TC = O(1)]

• Example 02: Sum of numbers in an array

Algorithm/Pseudocode	S/c	freq	total
a: Array of size n	0	1	0
Algorithm ArraySum(a,n)	0	1	0
{	0	1	0
S := 0;	1	1	1
for i := 1 to n do	1	n+1	n+1
S := S+a[i];	2	n	2n
return(S);	1	1	1
}	0	0	0

Time complexity = 3n+3

= O(n)

• If there is a single loop for loop Time complexity = O(n)

Example 03: Reversing an array

Algorithm / Pseudocode	s/c	freq	total
//a: Array of size n	0	-	0
Algorithm ReverseArray(a, n)	0	-	0
{	0	-	0
for i:=1 to n/2 do	1	n/2+1	n/2+1
{	0	-	0
temp := a[i];	1	n/2	n/2
a[i] := a[n-i+1];	1	n/2	n/2
a[n-i+1] := temp;	1	n/2	n/2
}	0	-	0
}	0	-	0

TC = 2n+1
= O(n)

Example 04: Matrix addition

Algorithm / Pseudocode	s/c	freq	total
//A, B: Matrices of order m x n	0	-	0
//c: Matrix of order m x n to store A+B	0	-	0
Algorithm MatrixAddition(a, b, c, m, n)	0	-	0
{	0	-	0
for i:=1 to m do	1	m+1	m+1
for j:=1 to n do	1	m(n+1)	mn+m
c[i, j] := A[i, j] + B[i, j];	2	mn	2mn
}	0	-	0

TC = 3mn + 2m + 1
= O(mn)

• For nested loop:

loop 1: m times

loop 2: n times

→ O(m, n)

Example 05: Matrix addition of square matrices

Exam

Algorithm/Pseudocode	s/e	freq	total
//A, B: Matrices of order n x n	0	-	0
//C: Matrix of order n x n to store A+B	0	-	0
Algorithm MatrixAddition(a, b, c, n)	0	-	0
{	0	-	0
for i:=1 to n do	1	n+1	n+1
for j:=1 to n do	1	n(n+1)	n ² +n
c[i, j] := A[i, j] + B[i, j];	2	n ²	2n ²
}	0	-	0

TC = 3n² + 2n + 1

= O(n²)

• If there is nested loop, TC = O(n²)

Example 06: Linear Search

Algorithm/Pseudocode	s/e	freq	total
//a: Array of size n	0	-	O(0)
//x: Elements to be searched	0	-	O(0)
Algorithm LinearSearch(a, n, x)	0	-	O(0)
{	0	-	O(0)
for i:=1 to n do	1	O(n)	O(n)
if (a[i] = x)	1	O(n)	O(n)
return(i);	1	O(1)	O(1)
return(-1);	1	O(1)	O(1)
}	0	-	O(0)

Example 07: Finding maximum in an Array

Algorithm/Pseudocode	s/e	freq	total
//a: Array of size n	0	-	O(0)
Algorithm MaxInArray(a, n)	0	-	O(0)
{	0	-	O(0)
max := a[1];	1	O(1)	O(1)
for i:=2 to n do	1	O(n)	O(n)
if (a[i] > max)	1	O(n)	O(n)
max := a[i];	1	O(n)	O(n)
return(max);	1	O(1)	O(1)
}	0	-	O(0)

T.C = O(n)

Example 08: Selection Sort

Algorithm / Pseudocode	s/e	freq	total
//a: Array of size n	0	-	$\theta(0)$
Algorithm SelectionSort(a,n)	0	-	$\theta(0)$
{	0	-	$\theta(0)$
for i:=1 to n-1 do	1	$\theta(n)$	$\theta(n)$
{	0	-	$\theta(0)$
min:=a[i]; min-pos=i;	2	$\theta(n)$	$\theta(n)$
for j:=i+1 to n do	1	$\theta(n^2)$	$\theta(n^2)$
{	0	-	$\theta(0)$
if (a[j] < min)	1	$\theta(n^2)$	$\theta(n^2)$
{	0	-	$\theta(0)$
min:=a[j]; min-pos=j;	2	$\theta(n^2)$	$\theta(n^2)$
}	0	-	$\theta(0)$
}	0	-	$\theta(0)$
Swap(a[i], a[min-pos]);	3	$\theta(n)$	$\theta(n)$
}	0	-	$\theta(0)$
}	0	-	$\theta(0)$

Example 09: Bubble Sort $TC = \theta(n^2)$

Algorithm / Pseudocode	s/e	freq	total
//a: Array of size n	0	-	$\theta(0)$
Algorithm BubbleSort(a,n)	0	-	$\theta(0)$
{	0	-	$\theta(0)$
for i:=1 to n-1 do	1	$\theta(n)$	$\theta(n)$
{	0	-	$\theta(0)$
count:=0;	1	$\theta(n)$	$\theta(n)$
for j:=1 to n-i do	1	$\theta(n^2)$	$\theta(n^2)$
{	0	-	$\theta(0)$
if (a[j] > a[j+1])	1	$\theta(n^2)$	$\theta(n^2)$
{	0	-	$\theta(0)$
Swap(a[j], a[j+1]);	3	$\theta(n^2)$	$\theta(n^2)$
count:=count+1;	2	$\theta(n^2)$	$\theta(n^2)$
}	0	-	$\theta(0)$
}	0	-	$\theta(0)$
if (count=0)	1	$\theta(n)$	$\theta(n)$
break;	1	$\theta(1)$	$\theta(1)$
}	0	-	$\theta(0)$
}	0	-	$\theta(0)$

$TC = \theta(n^2)$

10) Ins

2. Logarithmic Loop:

for (i=1; i<=n; i=i*c) Time complexity:

for (i=n; i>=1; i=i/c) $T(n) = \Theta(\log_c n) = \Theta(\lg n)$

3. Log (Logarithmic) loop/expo

for (i=1; i<=n; i=i^c) Time complexity:

or
for (i=n; i>=k; i=sqrt(i)) $T(n) = \Theta(\log_c \log_k n) = \Theta(\lg \lg n)$

Eg: What is the complexity of the following c code segment.

1) x=0;

for (i=5; i<=n; i=i^2) TC = $\Theta(\log_{i^2} n)$
x=x+1;

2) x=0;

for (i=n; i>=1; i=sqrt(i)) TC = $\Theta(\log_{\sqrt{i}} n)$
x=x+1;

Example 3) x=5;

for (i=1; i<=n; i=i+1) for (j=1; j<=n; j=2*j) TC = $\Theta(n \log n)$
x=x+1;

4) x=5;

for (i=1; i<=n; i=5*i) for (j=1; j<=n; j=2*j) TC = $\Theta(\log n)^2$
x=x+1;

5) x=5;

for (i=1; i<=n; i=i+i) for (j=1; j<=n; j=j+j) TC = $\Theta(\log n)^2$
x=x+1;

6) x=5;

for (i=1; i<=n; i=i+1) for (j=1; j<=n; j=j*j) for (k=1; k<=n; k=k+1) TC = $\Theta(n^2 \log n)$
x=x+1;

11-07-2025

Friday

* Recursion: What is Recursion?
Process in which a problem is defined in terms of itself.

• Recursion has two cases:

(1) Base case

(2) Recursion case

Eg: 1. Factorial of a number n

$$\text{fact}(n) = \begin{cases} 1 & \text{if } n=0 \text{ (Base case)} \\ n * \text{fact}(n-1) & \text{if } n>1 \text{ (recursion case)} \end{cases}$$

* what is recursive algorithm or function?

• A recursive algorithm is an algorithm that calls itself, one or more times, on smaller inputs.

• To prevent an infinite chain of such calls, there has to be a value of the input for which the algorithm doesn't call itself.

Eg: Factorial of a number n

Algorithm RFact(n)

```
{  
  if ( $n=0$ )  
    return (1);  
  else  
    return ( $n * \text{RFact}(n-1)$ );  
}
```

* what are phases of recursion? recursive algorithm.

• There are mainly two phases:

1. Winding phase

2. Unwinding phase

1. Winding phase:

• Function keeps on calling itself and no return statements are executed in this phase.

• This phase terminates when the base case becomes true in a call.

2. Unwinding phase:

• All the recursive functions calls start returning in reverse order till the first instance of function returns.

• In this phase control returns through each instance of a function.

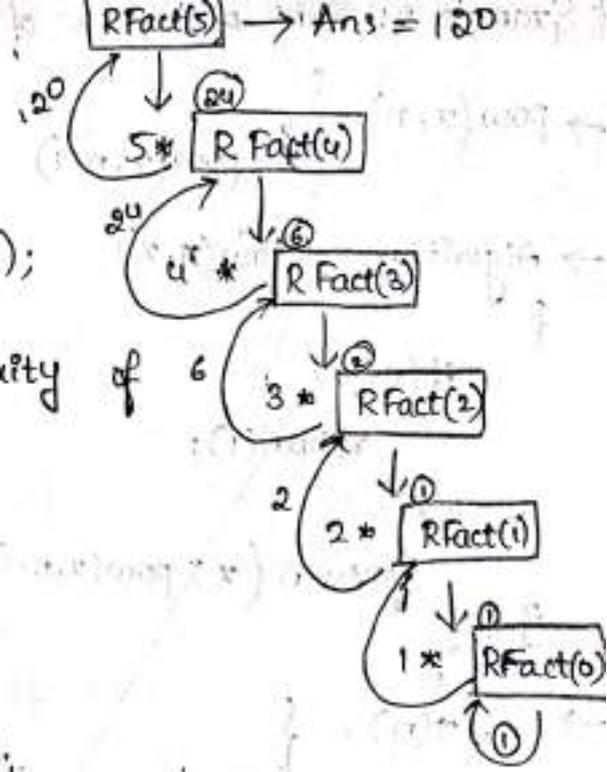
Eg: Factorial of a number n .



Algorithm RFact(n)

```

{
  if (n=0)
    return(1);
  else
    return (n * RFact(n-1));
}
  
```



* How to calculate time complexity of recursive algorithm?

Algorithm RFact(n)

```

{
  if (n=0)
    return(1);
  else
    return (n * RFact(n-1));
}
  
```

To find the time complexity of recursive algorithm first we need to write recurrence relation for time complexity.

Recurrence relation for time complexity:

$$T(n) = \begin{cases} T(n-1) + 3 & \text{if } n > 0 \\ 2 & \text{if } n = 0 \end{cases}$$

1 + 1 + 1 + T(n-1)
 ↓ ↓ ↓
 if return multiplication

Solution:

$$\begin{aligned}
 T(n) &= T(n-1) + 3 \\
 &= T(n-2) + 3 + 3 \\
 &= T(n-3) + 3 + 3 + 3 \\
 &\vdots \\
 &= T(n-k) + 3k \\
 &= T(n-n) + 3(n) \\
 &= T(0) + 3n \\
 &= 2 + 3n \\
 &= 3n + 2
 \end{aligned}$$

$$\begin{aligned}
 &T(n-10) + 3(10) \\
 &T(n-x) + 3x \\
 &T(n-n) + 3n \quad T(0) \\
 &T(0) + 3n \quad n-x=0 \\
 &2 + 3n \quad x=n \\
 &O(n)
 \end{aligned}$$

$$T(n) = \theta(n)$$

* Example 3: Sum of first n Natural numbers:-

→ Algorithm RSum(n)

```

if (n=1)
    return(1);
else
    return(n + sum(n-1));
    
```

$$\rightarrow \text{sum}(n) = \begin{cases} 1 & \text{if } n=1 \text{ (Base case)} \\ n + \text{sum}(n-1) & \text{if } n > 1 \text{ (recursion case)} \end{cases}$$

• Recurrence relation for T.C:-

$$\rightarrow T(n) = \begin{cases} T(n-1) + 3 & \text{if } n > 1 \\ 2 & \text{if } n = 1 \end{cases}$$

Sol:- $T(n) = T(n-1) + 3$

$$= T(n-2) + 3 + 3$$

$$= T(n-3) + 3 + 3 + 3$$

$$= T(n-4) + 3 + 3 + 3 + 3$$

⋮

$$= T(n-k) + 3k$$

$$\forall k = n-1$$

$$= T(n-(n-1)) + 3(n-1)$$

$$= T(n-n+1) + 3(n-1)$$

$$= T(1) + 3(n-1)$$

$$= 2 + 3(n-1)$$

$$\because T(1) = 2$$

~~$$= 2 + 3(n-1)$$~~

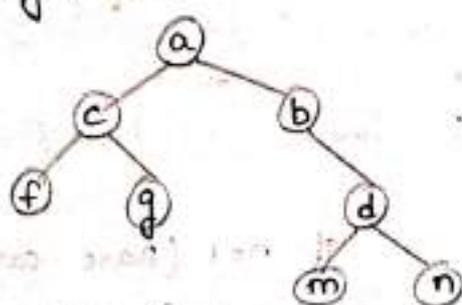
~~$$= 3n - 1$$~~

~~$$= O(n)$$~~

14-07-2025 * Binary Tree:

Monday. A binary tree is a hierarchical data structure in which every node has maximum 2 children, called the left child and right child.

Eg:-



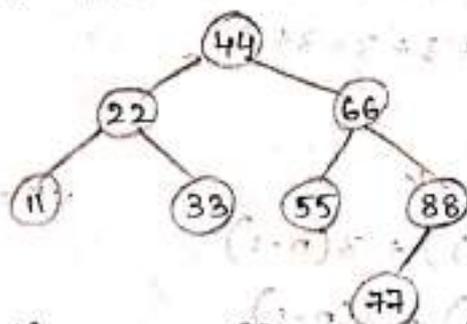
* Define binary search tree:

• A binary search tree (BST) is a binary tree that has a value associated with each of its nodes.

• The values satisfy Binary Search tree property:

1. The values in the non-empty left subtree of a node are smaller than the value in the node.
2. The values in the non-empty right subtree of a node are greater than the value in the node.

Eg:-



* Major operations on Binary Search tree:

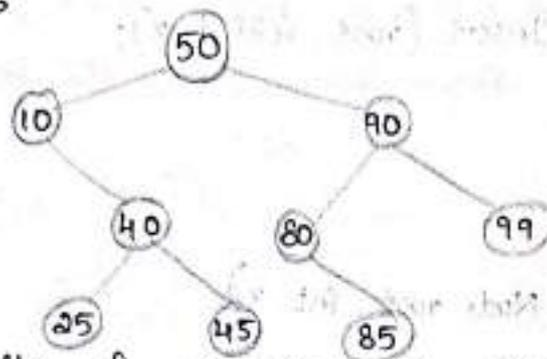
1. Search
2. Insert
3. Delete

* Explain the insertion process in Binary Search tree?

To insert a new node with a data value x

1. Start at the root node.
2. Compare the value x with the current node value.
 - If $x <$ current node value, go to left sub tree.
 - If $x >$ current node value, go to right sub tree.
3. Repeat step 2 until we reach an empty slot and then insert the new node in that slot.

Construct a binary search tree for the data: 50, 10, 90, 80, 99, 40, 85, 25, 45



Time complexity of operations in BST:

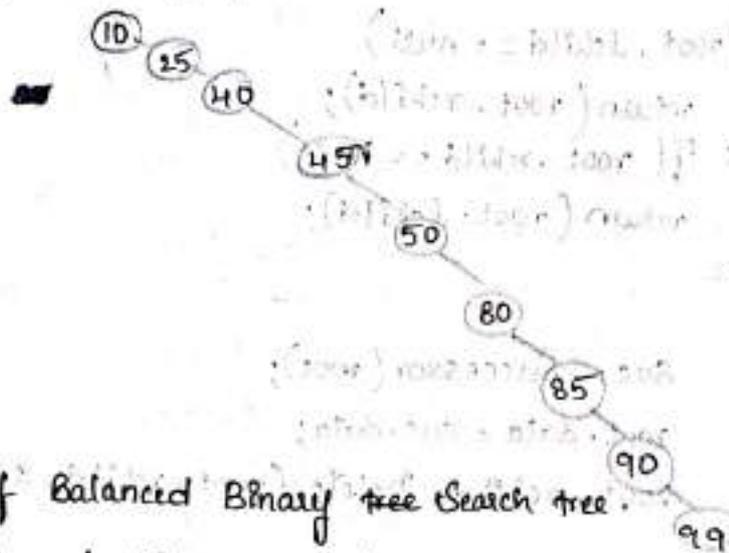
Best case = $O(1)$

Worst case = $O(h)$

element not in tree = $O(h)$

Remark: In worst case, a binary search tree with n nodes have a height of $(n-1)$.

Eg: 10, 25, 40, 45, 50, 80, 85, 90, 99



* AVL Tree = Self Balanced Binary tree Search tree.

Note: For a balanced binary search tree with n nodes, the height will be $O(\log_2 n)$

* Insertion:

```

Static Node Insert (Node root, int x)
{
    Node tmp;
    tmp = new Node(x);
    if (root == NULL)
        return(tmp);
    else if (x < root.data)
        root.lchild = Insert (root.lchild, x);
  
```

```
else if (x > root.data)
```

```
root.rchild = Insert (root.rchild, x);
```

```
return (root);
```

* Deletion:

```
Static Node Delete (Node root, int x)
```

```
{
```

```
Node suc;
```

```
if (root == NULL)
```

```
return (root);
```

```
if (x < root.data)
```

```
root.lchild = Delete (root.lchild, x);
```

```
else if (x > root.data)
```

```
root.rchild = Delete (root.rchild, x);
```

```
else
```

```
{
```

```
if (root.lchild == null)
```

```
return (root.rchild);
```

```
else if (root.rchild == null)
```

```
return (root.lchild);
```

```
else
```

```
{
```

```
suc = Successor (root);
```

```
root.data = suc.data;
```

```
root.rchild = Delete (root.rchild, suc.data);
```

```
return (root);
```

* Successor:

```
Static Node Successor (Node root)
```

```
{
```

```
Node tmp;
```

```
tmp = root.rchild;
```

```
while (tmp.lchild != null)
```

```
tmp = tmp.lchild;
```

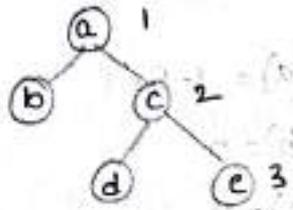
```
return (tmp);
```



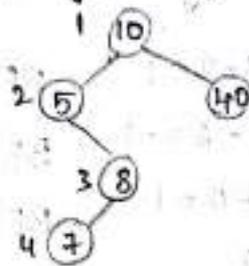
15-07-2025 * Height of binary tree; (only for AVL tree)
 Tuesday The no. of nodes and the largest possible

path from root to any leaf node.

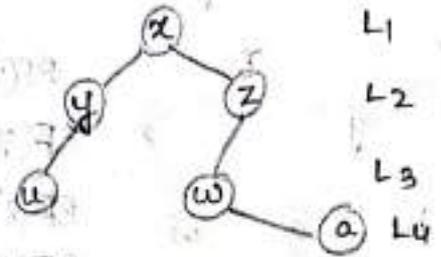
Eg:-



Height = 3



Height = 4

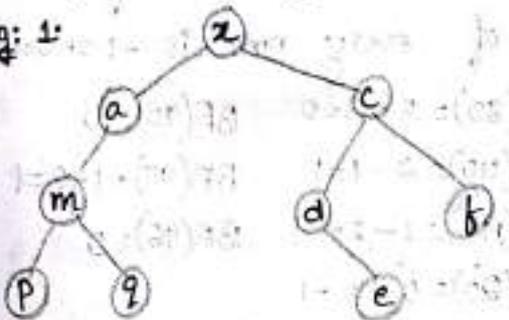


Height = 4

* Balancing factor of a node:

$$BF(\text{node}) = \text{Height of (Left subtree)(node)} - \text{Height of (Right subtree)(node)}$$

Eg: 1:



$$BF(z) = 3 - 3 = 0$$

$$BF(b) = 0 - 0 = 0$$

$$BF(a) = 2 - 0 = 2$$

$$BF(p) = 0 - 0 = 0$$

$$BF(c) = 2 - 1 = 1$$

$$BF(q) = 0 - 0 = 0$$

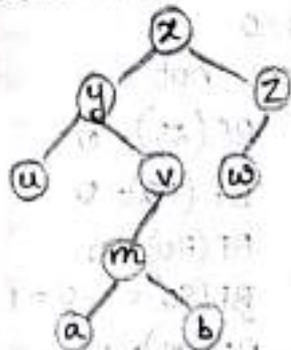
$$BF(m) = 1 - 1 = 0$$

$$BF(e) = 0 - 0 = 0$$

$$BF(d) = 0 - 1 = -1$$

following

* Calculate the balancing factor of nodes in the binary tree:



$$BF(z) = 4 - 2 = 2$$

$$BF(w) = 0$$

$$BF(y) = 1 - 3 = -2$$

$$BF(m) = 1 - 1 = 0$$

$$BF(z) = 1 - 0 = 1$$

$$BF(a) = 0$$

$$BF(u) = 0$$

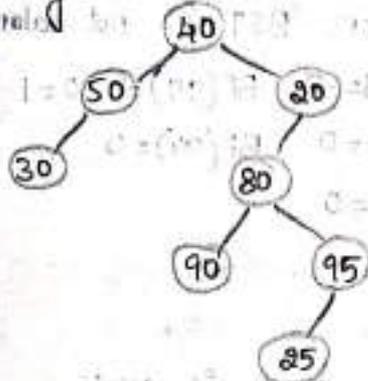
$$BF(b) = 0$$

$$BF(v) = 2 - 0 = 2$$

suc. data);

* Calculate the balancing factor of nodes in the following

binary tree:



$$BF(40) = 2 - 4 = -2$$

$$BF(50) = 1 - 0 = 1$$

$$BF(20) = 3 - 0 = 3$$

$$BF(30) = 0$$

$$BF(80) = 1 - 2 = -1$$

$$BF(90) = 0$$

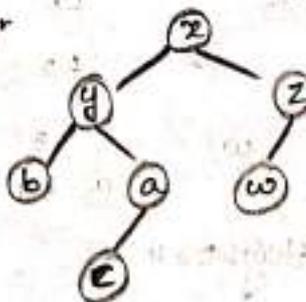
$$BF(95) = 1 - 0 = 1$$

$$BF(85) = 0$$

1 * Balanced Binary ~~Search~~ tree:

A binary tree is called balanced binary tree if the balancing factor of every node is -1 or 0 or +1

Egr

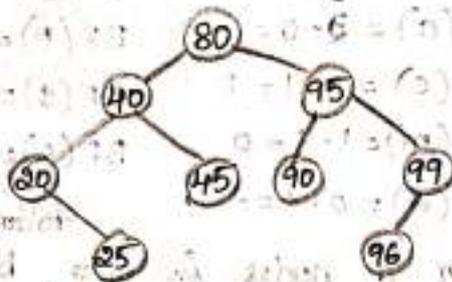


$$\begin{aligned} BF(z) &= 3 - 2 = 1 & BF(a) &= 1 - 0 = 1 \\ BF(y) &= 1 - 2 = -1 & BF(b) &= 0 \\ BF(z) &= 1 - 0 = 1 & BF(c) &= 0 \\ BF(w) &= 0 \end{aligned}$$

* AVL Tree or balanced binary Search tree:

A binary search tree is called balanced binary search tree or AVL tree if the balancing factor of every node is -1 or 0 or +1

Egr

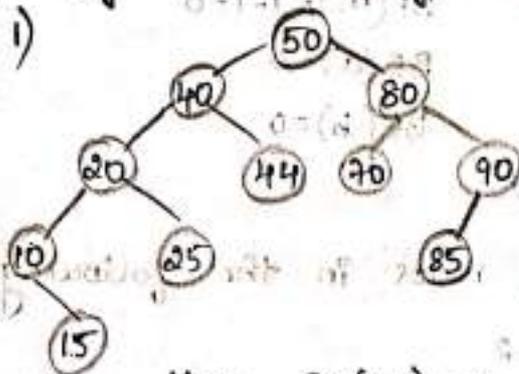


$$\begin{aligned} BF(80) &= 3 - 3 = 0 & BF(90) &= 0 \\ BF(40) &= 2 - 1 = 1 & BF(99) &= 1 - 0 = 1 \\ BF(95) &= 1 - 2 = -1 & BF(96) &= 0 \\ BF(20) &= 0 - 1 = -1 \\ BF(25) &= 0 - 0 = 0 \\ BF(45) &= 0 - 0 = 0 \end{aligned}$$

Balanced

* Verify the following BST is balanced or not.

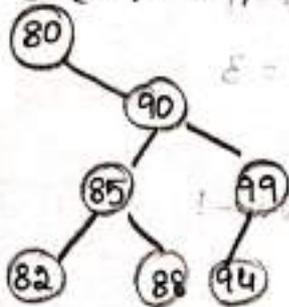
1)



$$\begin{aligned} BF(50) &= 4 - 3 = 1 & BF(25) &= 0 \\ BF(40) &= 3 - 1 = 2 & BF(10) &= 0 \\ BF(80) &= 1 - 2 = -1 & BF(70) &= 0 \\ BF(20) &= 2 - 1 = 1 & BF(90) &= 1 - 0 = 1 \\ BF(44) &= 0 & BF(85) &= 0 \\ BF(10) &= 0 - 1 = -1 \end{aligned}$$

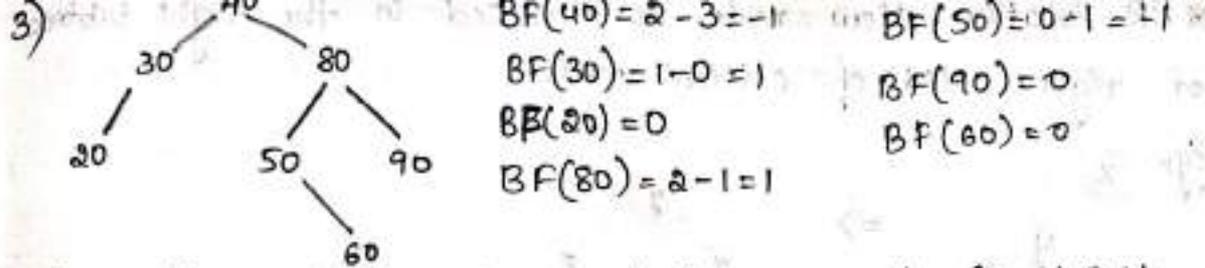
Here $BF(40) = 2$, so the given BST is not balanced

2)



$$\begin{aligned} BF(80) &= 0 - 3 = -3 & BF(99) &= 1 - 0 = 1 \\ BF(90) &= 2 - 2 = 0 & BF(94) &= 0 \\ BF(85) &= 1 - 1 = 0 \\ BF(82) &= 0 \\ BF(88) &= 0 \end{aligned}$$

Here $BF(80) = -3$, so the given BST is not balanced.



Here, the balancing factor (BF) of every node is $-1, 0, +1$, so the given BST is balanced and hence it is an AVL Tree.

16-07-2025 *Operations in a AVL Tree:-

Wednesday 1. Search operation - same as binary search tree

2. Insertion

3. Deletion

*Insertion operation in AVL Tree:

1. Follow the same process insertion, process of BST.
2. Recalculate the balancing factors of every node.
3. Verify whether there is any critical node or not.
4. Apply the suitable rotation.

*There are mainly 4 types of rotation:

1. LL rotation

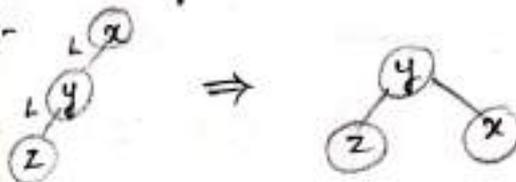
2. LR rotation

3. RR rotation

4. RL rotation

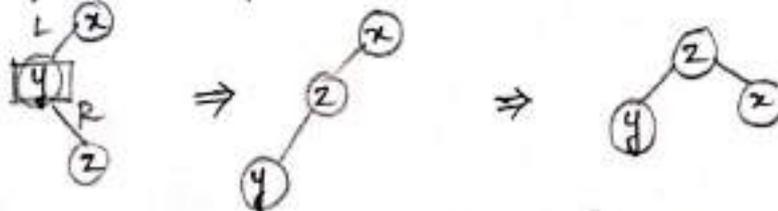
*LL Rotation: New node is inserted in the left subtree of left child of critical node.

Eg:-

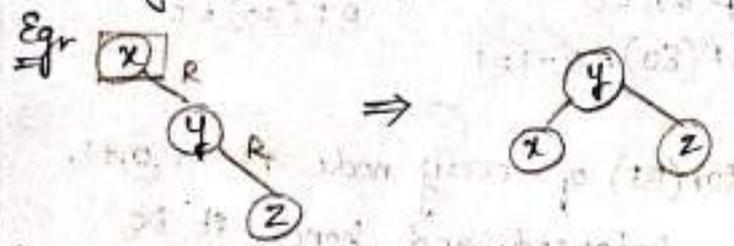


*LR Rotation: New node is inserted in the right subtree of left child of critical node.

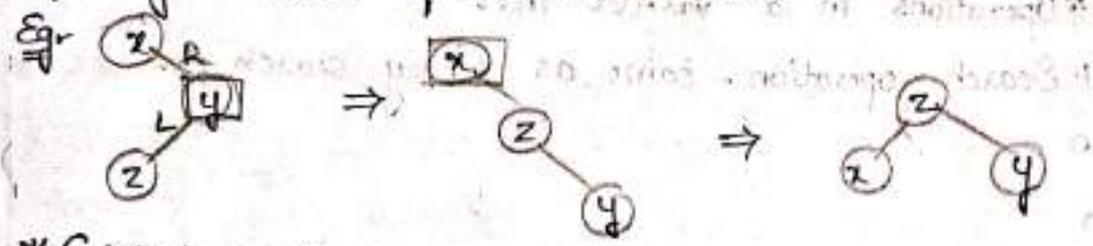
Eg:-



* RR Rotation: New node is inserted in the right subtree of right child of critical node.



* RL Rotation: New node is inserted in the left subtree of right child of critical node.

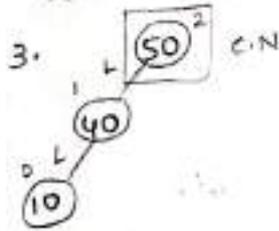
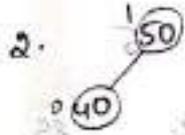
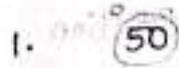


* Critical node: the lowest ancestor of newly inserted node for which the balancing factor is none of -1, 0, +1

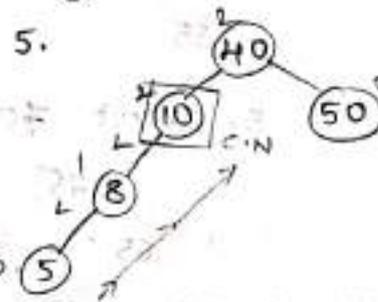
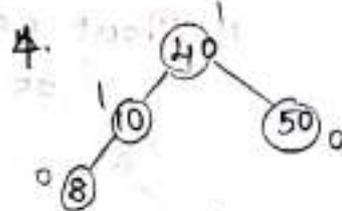
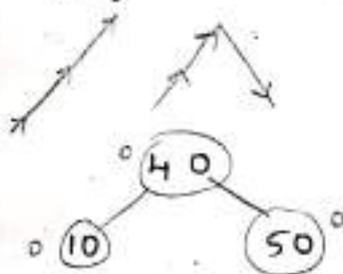
* empty space *

*Example for LL rotation:

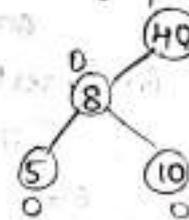
Input : 50, 40, 10, 8, 5



Apply LL Rotation



Apply LL Rotation



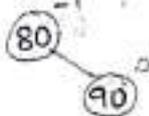
*Example for RR rotation:

Input : 80, 90, 100, 110, 120

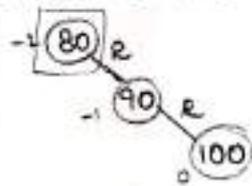
1. Insert 80



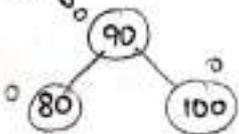
2. Insert 90



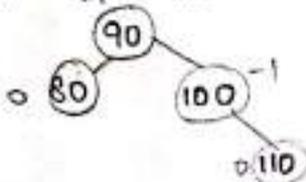
3. Insert 100



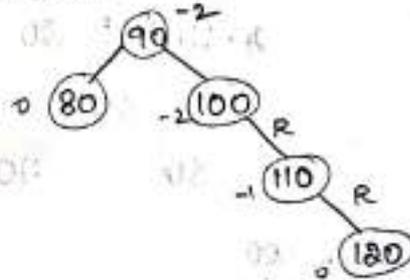
Apply RR rotation



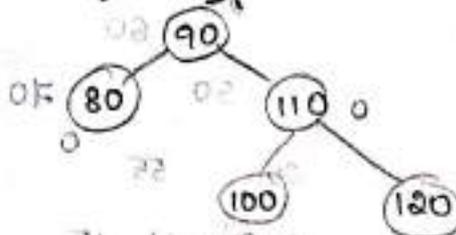
4. Insert 110



5. Insert 120



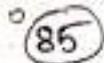
Apply RR rotation



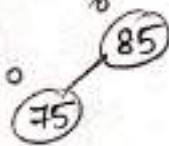
* Construct AVL Tree for following numbers:

① 85, 75, 65, 55, 40, 50

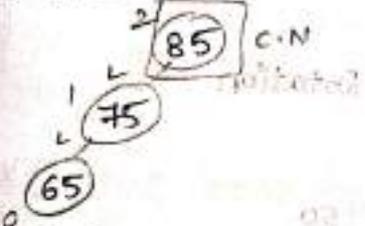
1. Insert 85



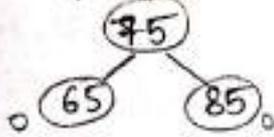
2. Insert 75



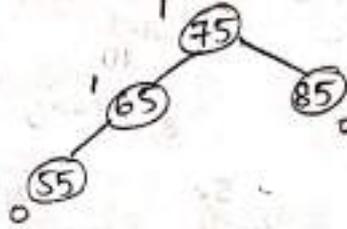
3. Insert 65



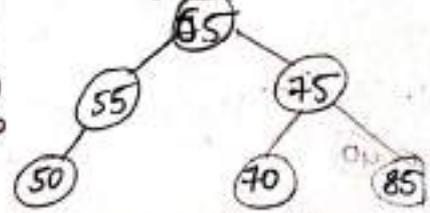
Apply LL Rotation



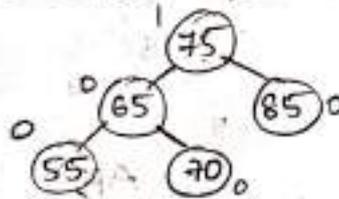
4. Insert 55



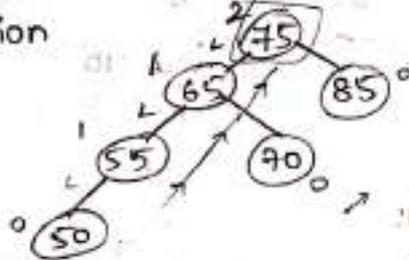
Apply LL Rotation



5. Insert 40



6. Insert 50

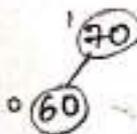


② 70, 60, 50, 20, 55, 15

1. Insert 70



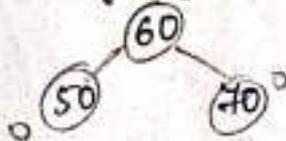
2. Insert 60



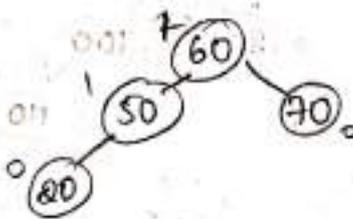
3. Insert 50



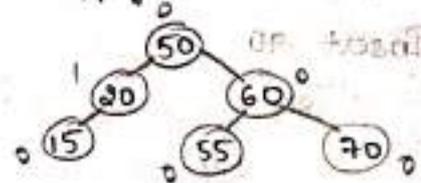
Apply LL Rotation



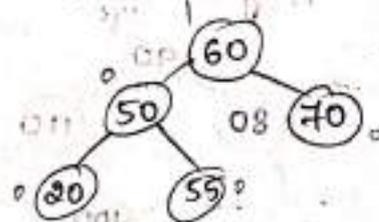
4. Insert 20



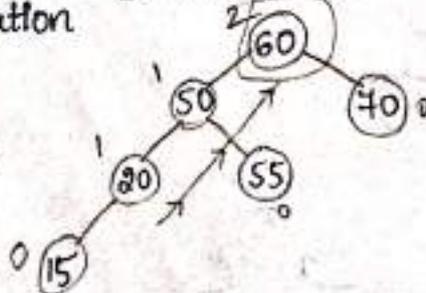
Apply LL Rotation



5. Insert 55



6. Insert 15

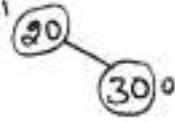


③ 20, 30, 80, 90, 70, 95

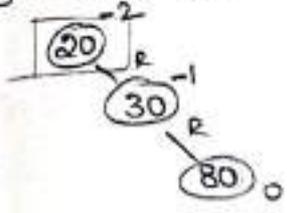
1. Insert 20



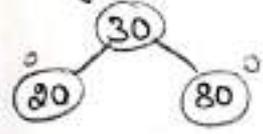
2. Insert 30



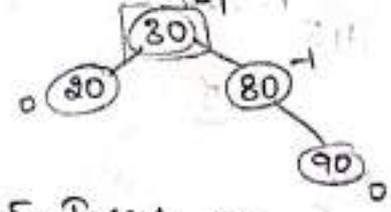
3. Insert 80



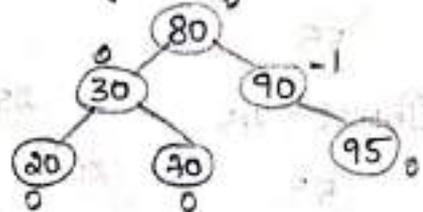
Apply RR rotation



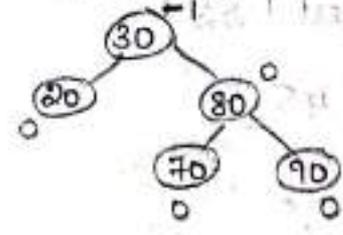
4. Insert 90



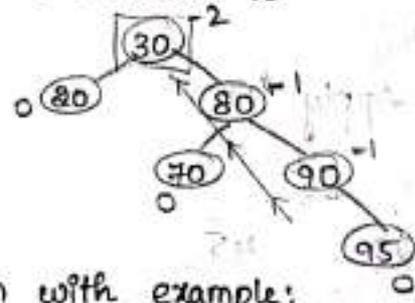
Apply RR rotation



5. Insert 70



6. Insert 95



* Explain LR rotation with example:

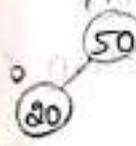
1) Data : 50 20 40

→ Apply RR rotation

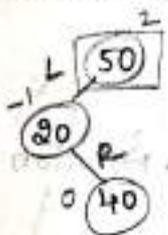
1. Insert 50



2. Insert 20

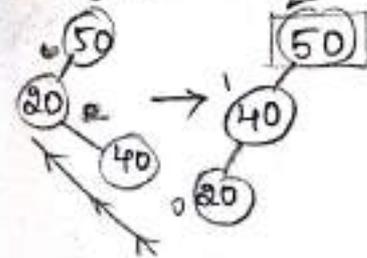


3. Insert 40



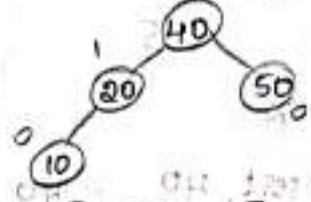
Apply LR rotation

→ Apply RR Rotation

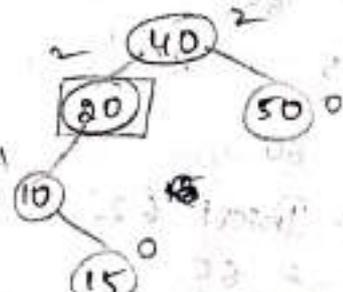


2) Data : 50 20 40 10 15

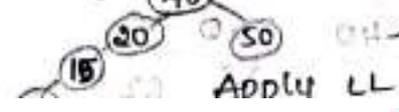
4. Insert 10



5. Insert 15



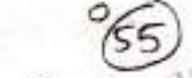
Apply LR rotation:



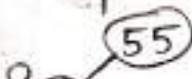
Apply LL

2) Data : 55 45 25 40 20 22

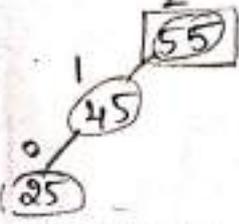
1. Insert 55



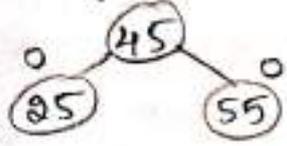
2. Insert 45



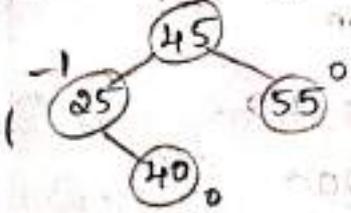
3. Insert 25



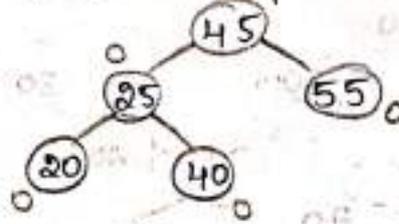
Apply LL Rotation



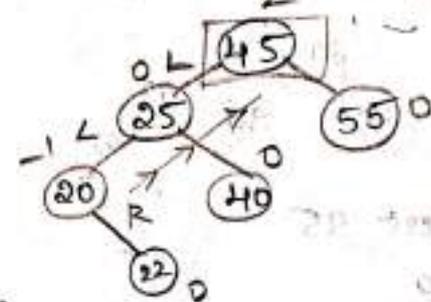
4. Insert 40



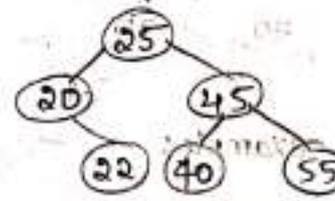
5. Insert 20



6. Insert 22

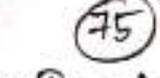


Apply LL



3) Data : 75, 65, 45, 60, 40, 62

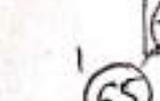
1. Insert 75



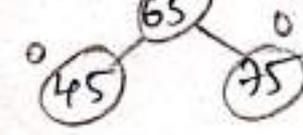
2. Insert 65



3. Insert 45



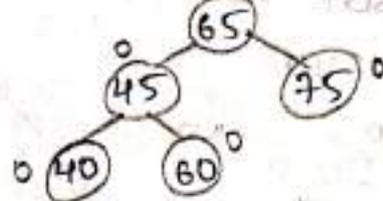
Apply LL Rotation



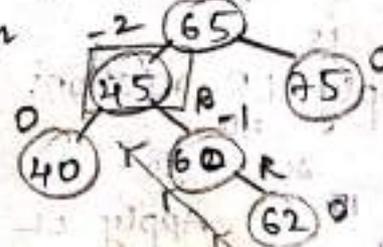
4. Insert 60



5. Insert 40

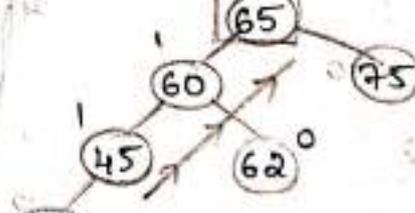


6. Insert 62

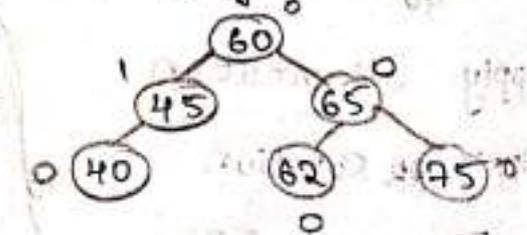


Apply LR rotation:

→ Apply RR rotation



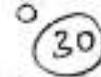
→ Apply LL Rotation



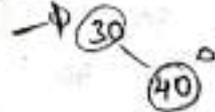
* Explain RL rotation with example:

1) Data: 30 40 50 40 65

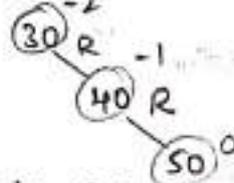
1. Insert 30



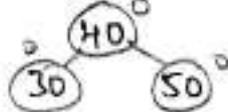
2. Insert 40



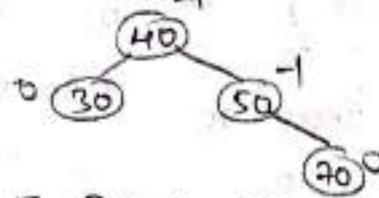
3. Insert 50



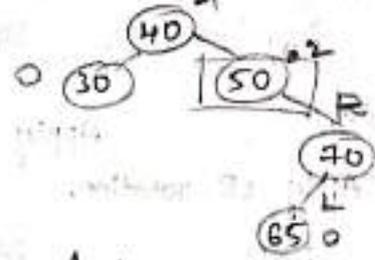
Apply RR rotation



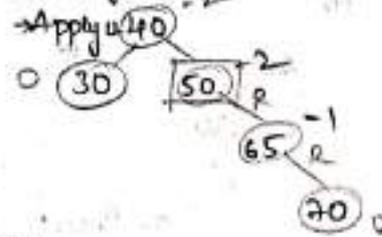
4. Insert 40



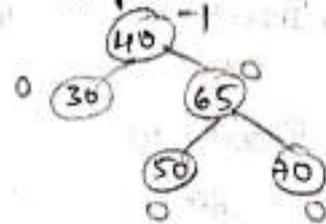
5. Insert 65



Apply RL rotation

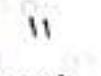


Apply RR:

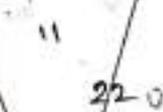


2) 11, 22, 15, 66, 33, 44

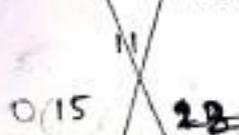
1. Insert 11



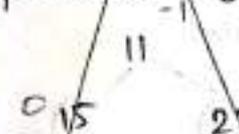
2. Insert 22



3. Insert 15



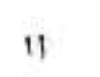
4. Insert 66



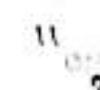
5. Insert 33



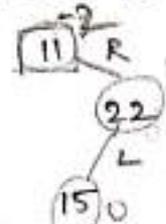
1. Insert 11



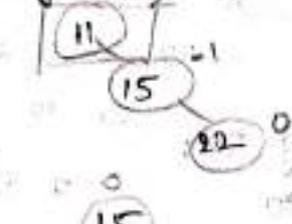
2. Insert 22



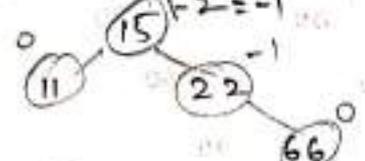
3. Insert 15



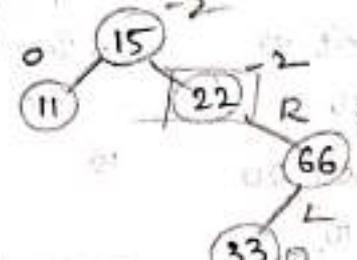
Apply RL rotation



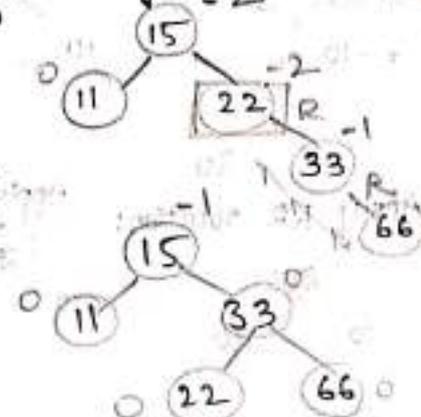
4. Insert 66



5. Insert 33

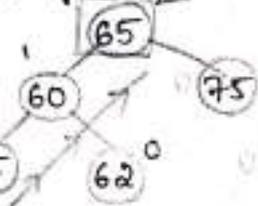


Apply RL rotation

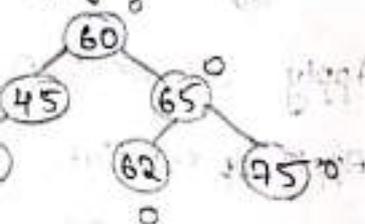


Apply LR rotation:

Apply RR rotation

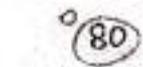


Apply LL Rotation

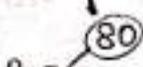


3) Data: 80, 10, 70, 30, 20, 60, 40, 100, 90

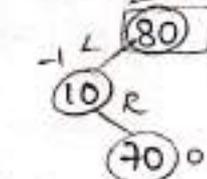
1. Insert 80



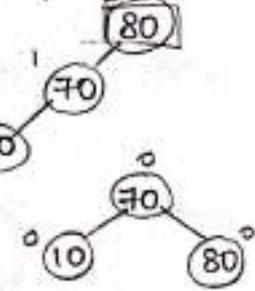
2. Insert 10



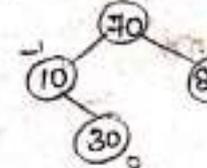
3. Insert 70



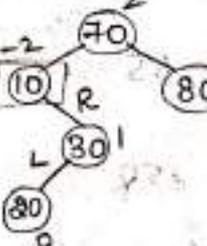
Apply LR rotation:



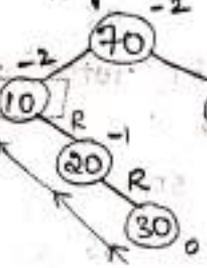
4. Insert 30



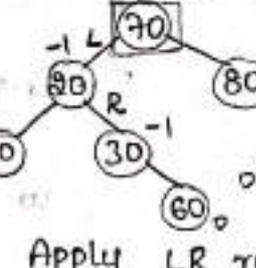
5. Insert 20



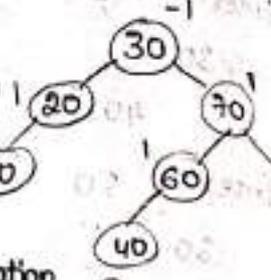
Apply LR rotation:



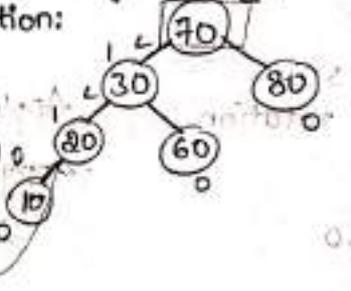
6. Insert 60



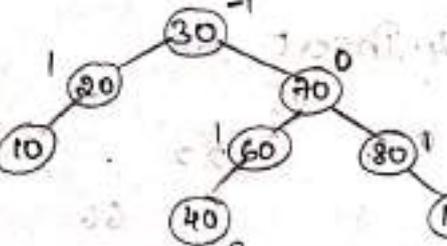
7. Insert 40



Apply LR rotation



8. Insert 100



9. Insert 90



Apply LR rotation

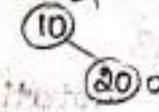


4) Data: 10, 20, 30, 40, 50, 60, 70, 80, 90

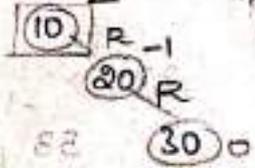
1. Insert 10



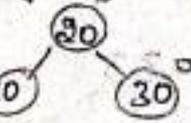
2. Insert 20



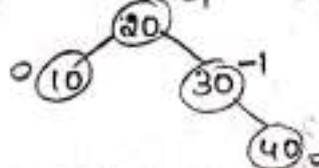
3. Insert 30



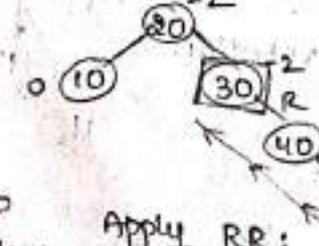
Apply RR rotation:



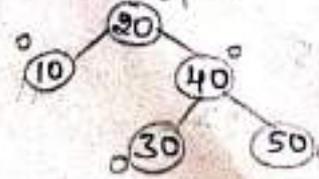
4. Insert 40



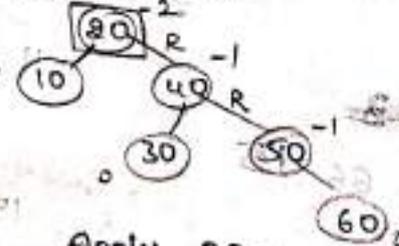
5. Insert 50



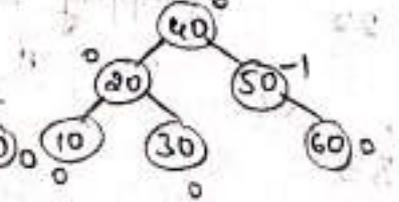
Apply RR:



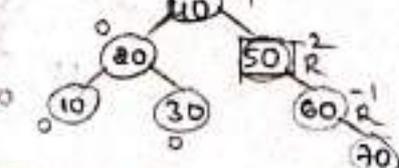
6. Insert 60

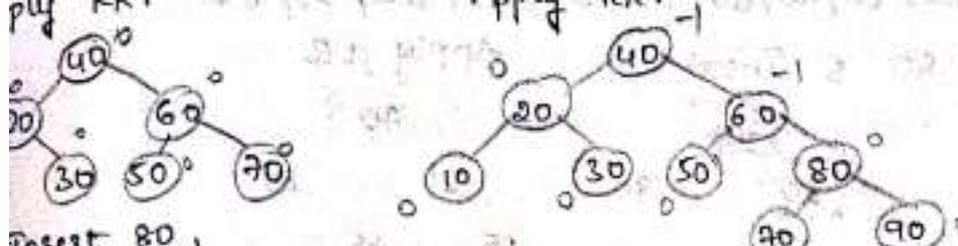


Apply RR:

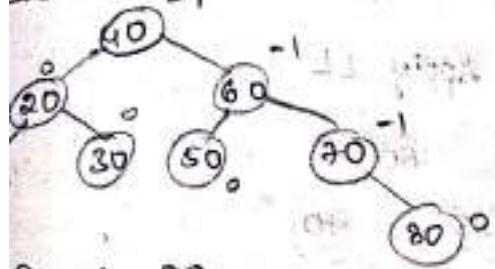


7. Insert 70



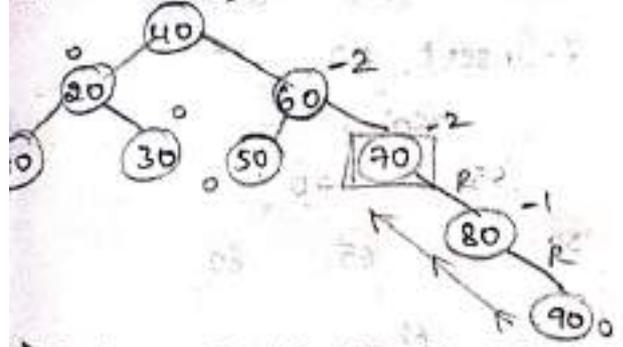


Insert 80



10 - Insert

Insert 90

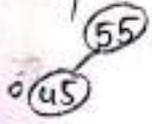


5) Data: 55, 45, 50, 80, 90, 85, 60

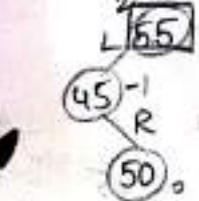
1. Insert 55



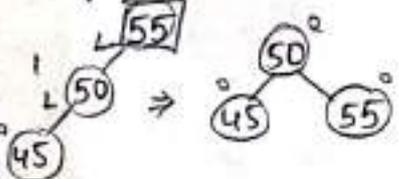
2. Insert 45



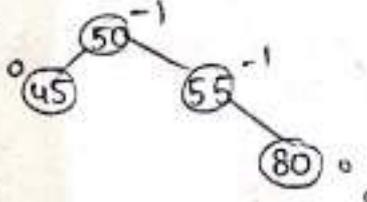
3. Insert 50



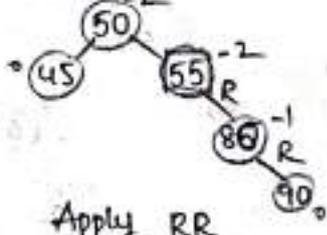
Apply LR:



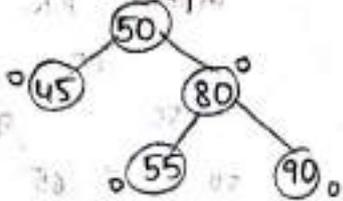
4. Insert 80



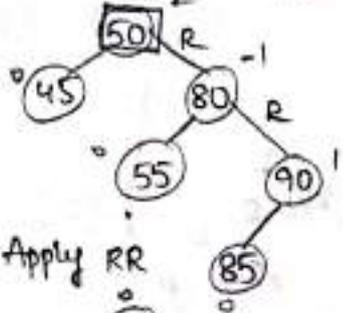
5. Insert 90



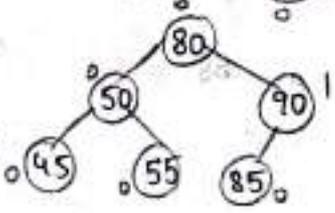
Apply RR



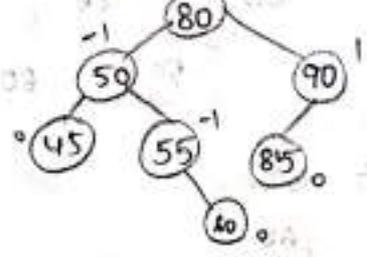
6. Insert 85



Apply RR



7. Insert 60



21-07-2025 * Data: 80, 70, 60, 50, 55, 65, 62, 85, 82

Tuesday

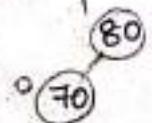
Monday

1. Insert 80 5. Insert 55

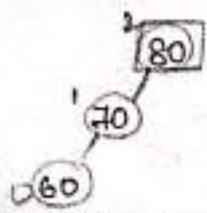
Apply LR



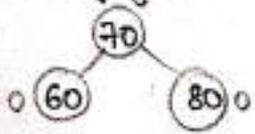
2. Insert 70



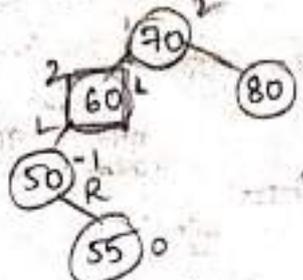
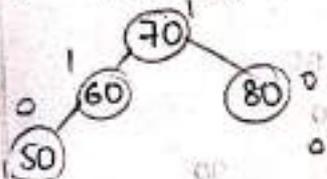
3. Insert 60



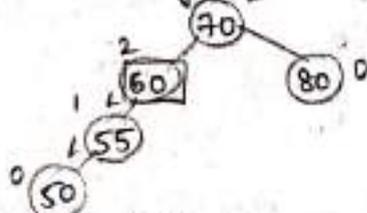
Apply LL



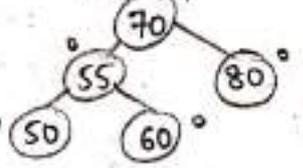
4. Insert 50



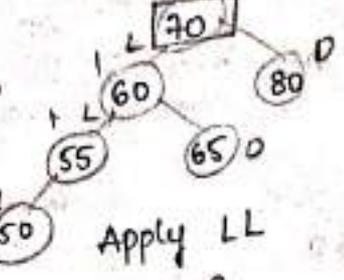
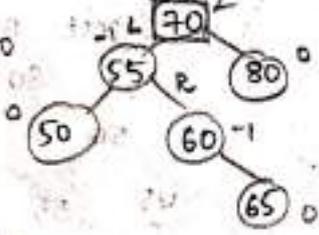
Apply LR



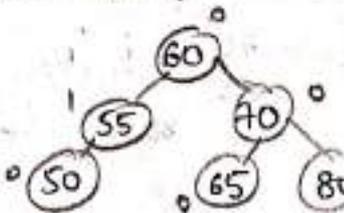
Apply LL



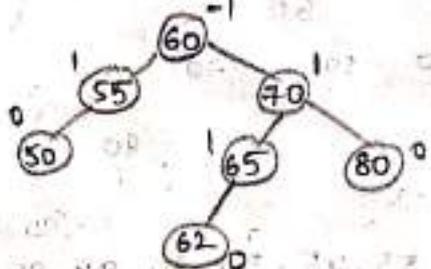
6. Insert 65



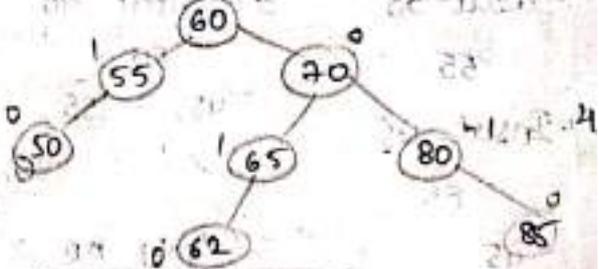
Apply LL



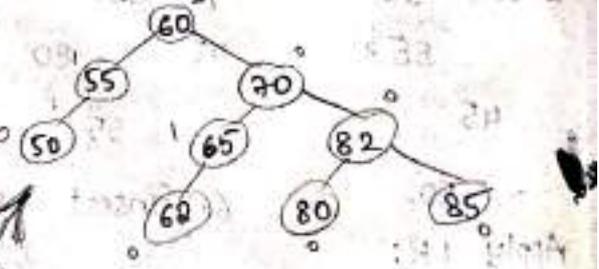
7. Insert 62



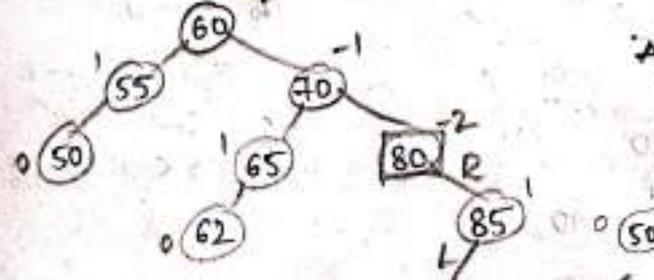
8. Insert 85



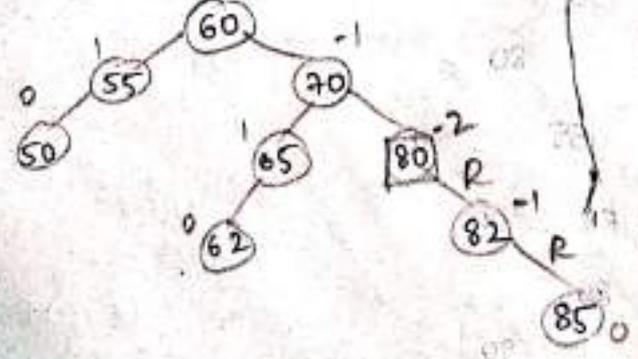
Apply RR

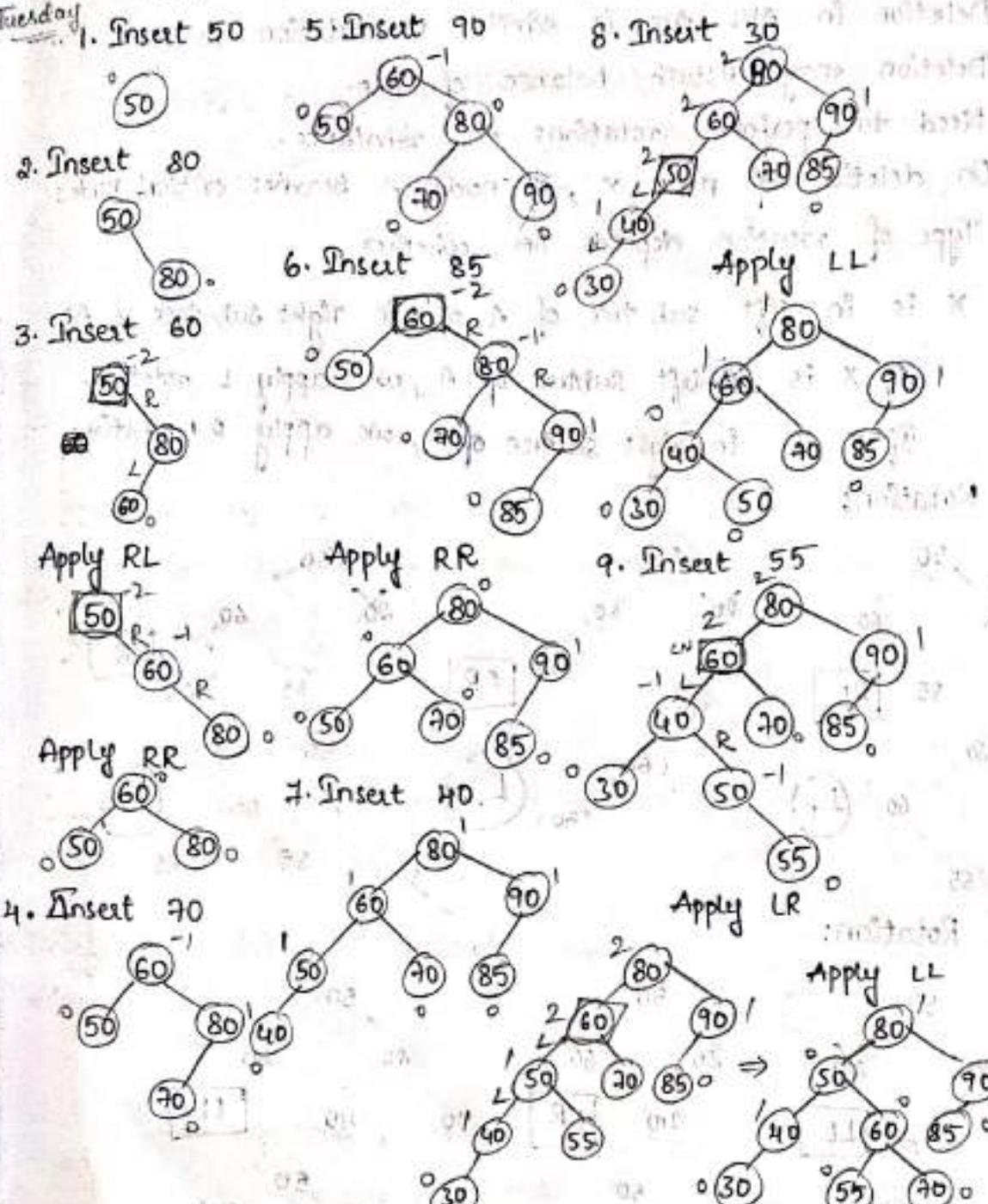


9. Insert 82



Apply RL





*** Deletion:**

There are two types of notations for deletion:

1) L Rotations (L_0, L_{-1}, L_1)

2)

2) R Rotations (R_0, R_{-1}, R_1)

* Deletion:-

- Deletion in AVL tree is similar to deletion in BST.
- Deletion may disturb balance of tree.
- Need to perform rotations to rebalance.
- On deletion of node X, if node A becomes critical node:

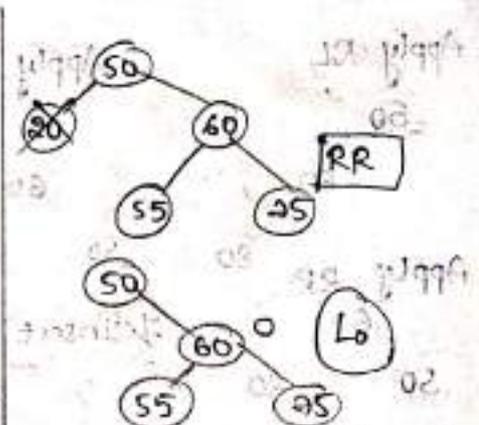
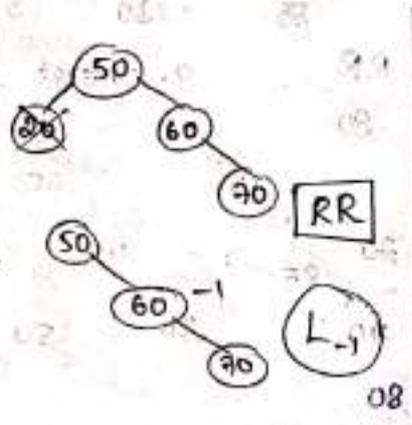
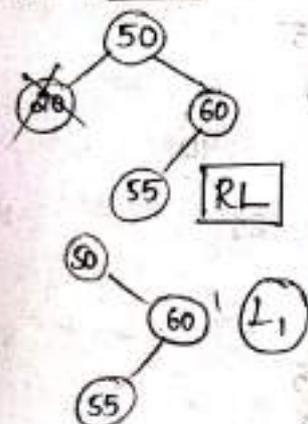
Type of rotation depends on whether

X is in left sub-tree of A or in right sub-tree of A.

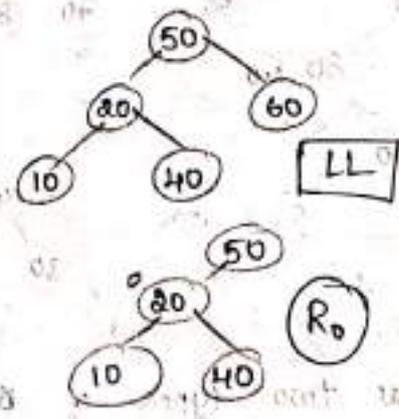
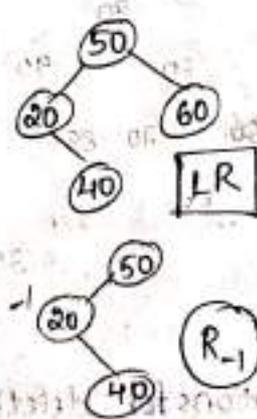
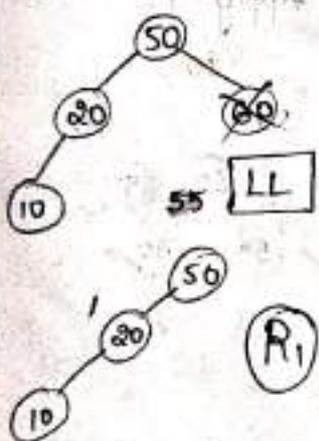
If X is in left subtree of A, we apply L rotation.

If X is in right subtree of A, we apply R rotation.

* L Rotation:



* R Rotation:



* L Rotations:-

L₀ rotation:

BF (critical node) = -2 and BF (critical node → rchild) = 0

Similar to RR rotation

L₁ rotation:

BF (critical node) = -2 and BF (critical node → rchild) = 1

Similar to RL rotation

L₂ rotation:

BF (critical node) = -2 and BF (critical node → rchild) = -1

Similar to RR rotation

*** R₀ Rotations:**

R₀ rotation:

$BF(\text{node}) = 2$ and $BF(\text{node} \rightarrow \text{lchild}) = 0$

Similar to LL rotation.

R₁ rotation:

$BF(\text{node}) = 2$ and $BF(\text{node} \rightarrow \text{lchild}) = 1$

Similar to LL rotation

R₋₁ rotation:

$BF(\text{node}) = 2$ and $BF(\text{node} \rightarrow \text{lchild}) = -1$

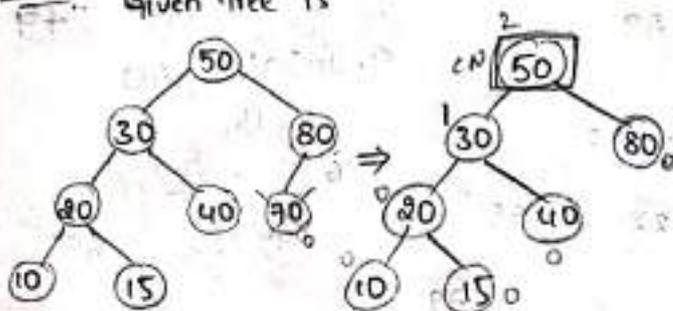
Similar to LR rotation.

23-07-2025

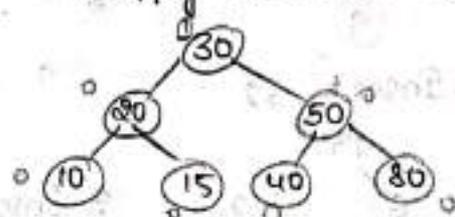
Wednesday

* Delete 70 from the following AVL Tree:

Given tree is

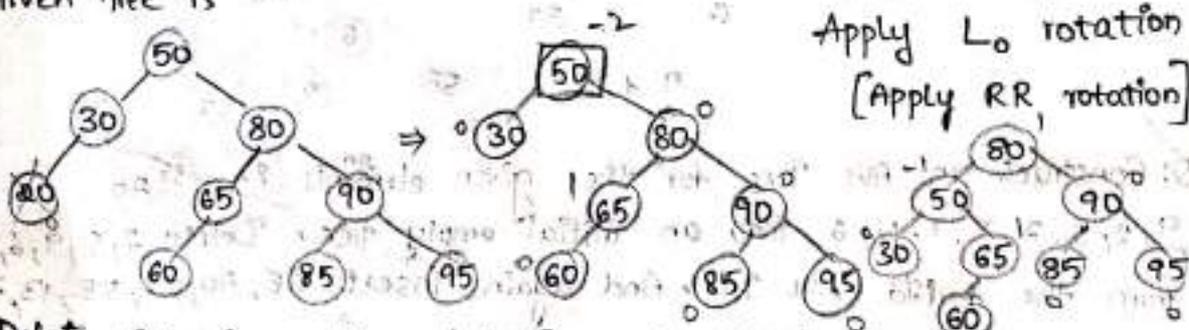


Apply R₀ Rotation
[Apply LL Rotation]

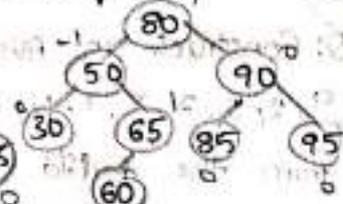


* Delete 20 from the following AVL Tree:

Given tree is



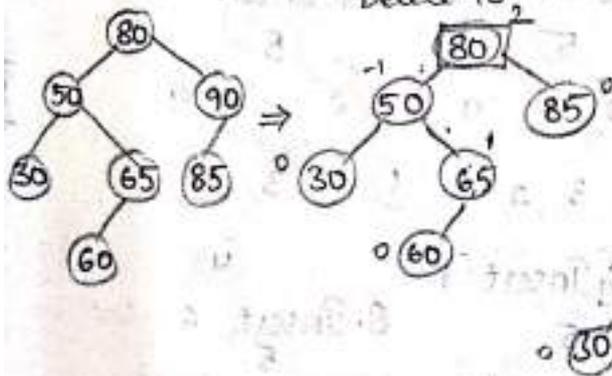
Apply L₀ rotation
[Apply RR rotation]



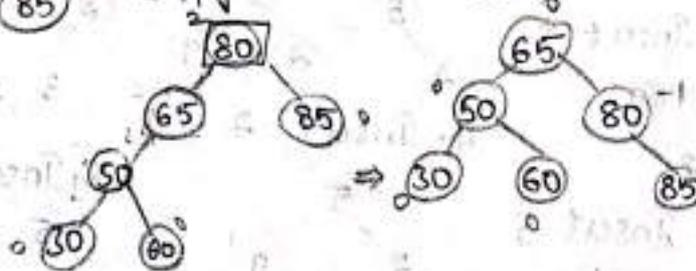
* Delete 90 from the following AVL Tree:

Given tree is

Delete 90



Apply R₋₁ rotation
[Apply LR rotation]

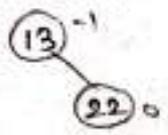


Q: Construct an AVL Tree using the following sequence set. Show the balance factors in the resulting tree: 13, 22, 6, 9, 32, 55, 79, 65, 70

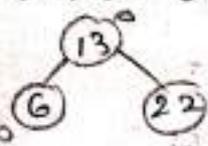
1. Insert 13



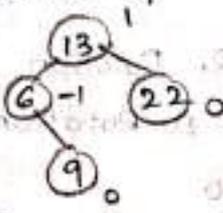
2. Insert 22



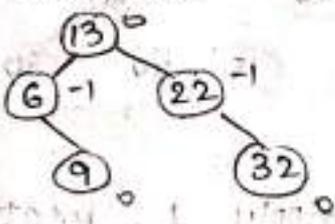
3. Insert 6



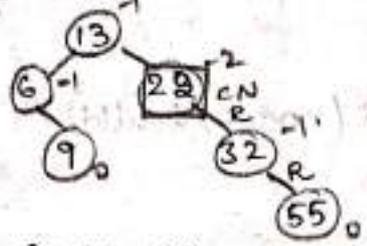
4. Insert 9



5. Insert 32



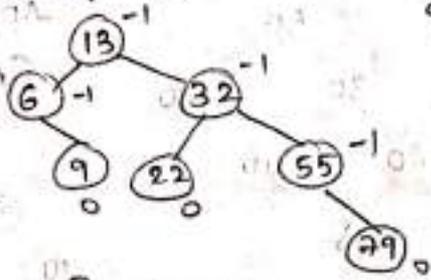
6. Insert 55



Apply RR

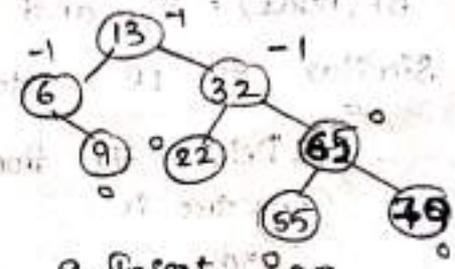


7. Insert 79

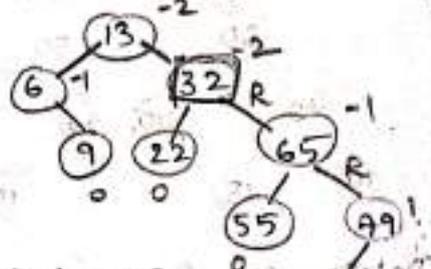


8. Insert 65

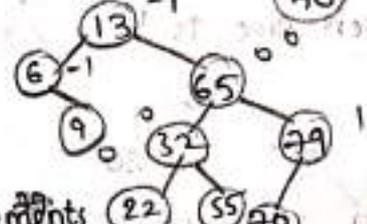
Apply RL



9. Insert 70



Apply RR

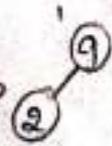


Q: Construct an AVL Tree for the given elements 9, 2, 5, 7, 3, 1, 4, 6 into an initial empty tree. Delete 7, 5, 9, 6, 9 from the build AVL Tree. And again insert 65, 70, 22, 55, 13, 79 to the resultant tree.

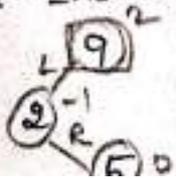
1. Insert 9



2. Insert 2



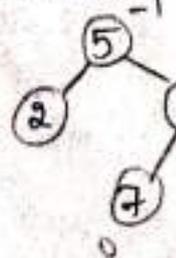
3. Insert 5



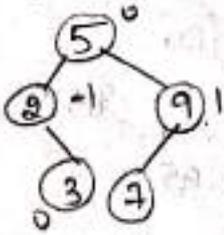
Apply LR



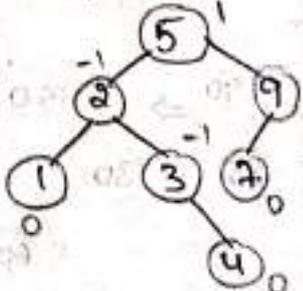
4. Insert 7



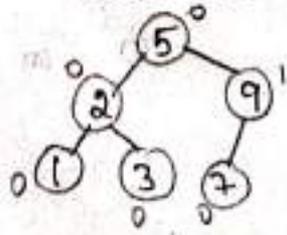
5. Insert 3



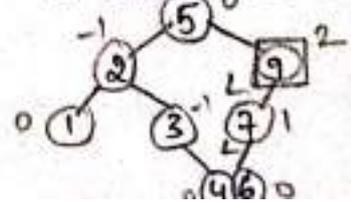
7. Insert 4

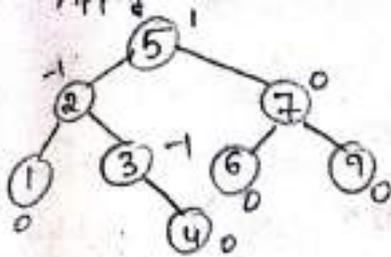


6. Insert 1

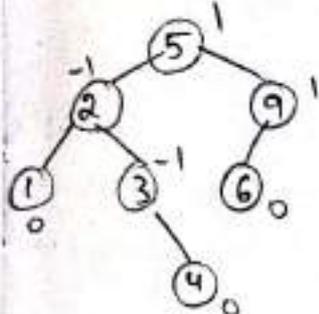


8. Insert 6

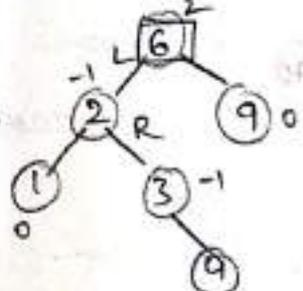




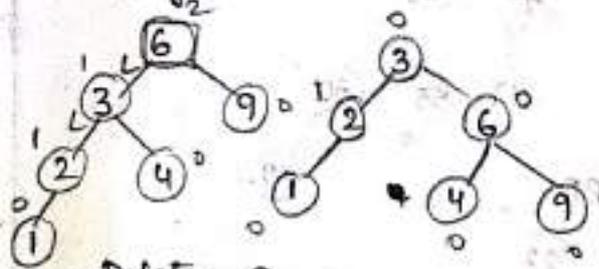
→ Delete 7



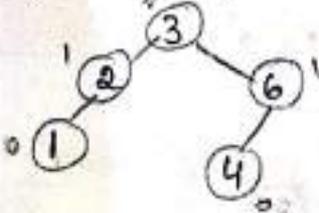
→ Delete 5



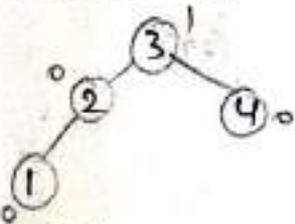
→ Apply LR



→ Delete 9

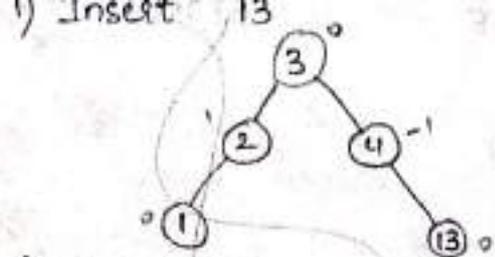


→ Delete 6

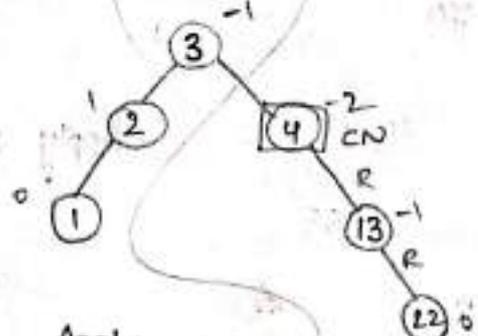


→ Delete 9

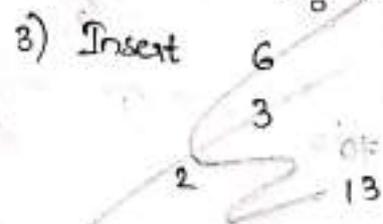
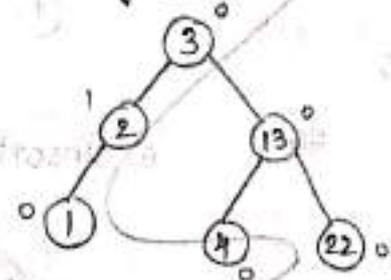
9 already deleted.



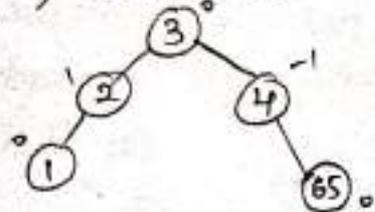
2) Insert 22



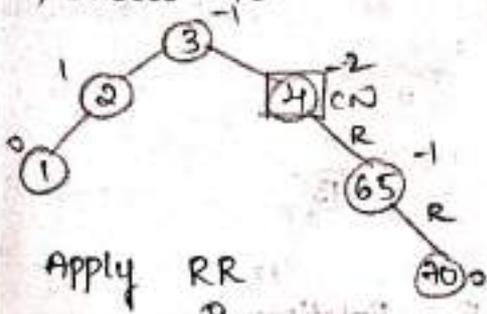
Apply RR Rotation



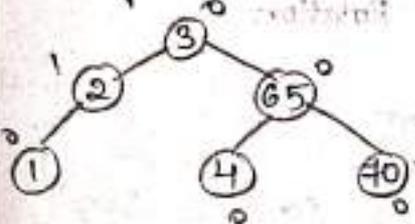
1) Insert 65



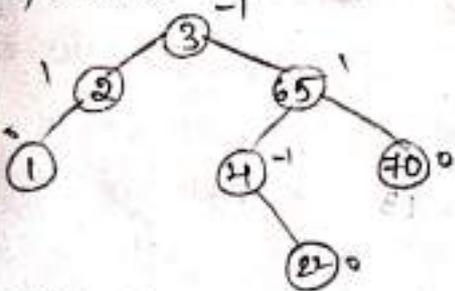
2) Insert 70



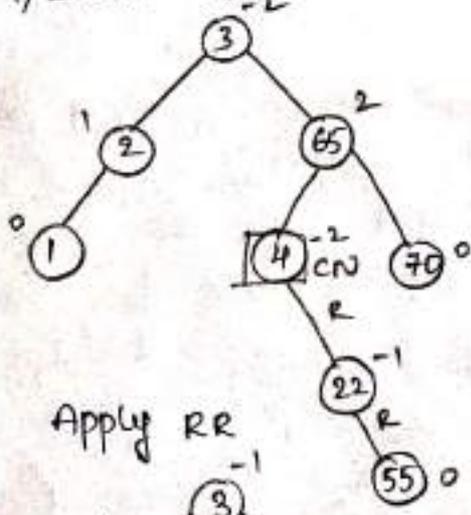
Apply RR



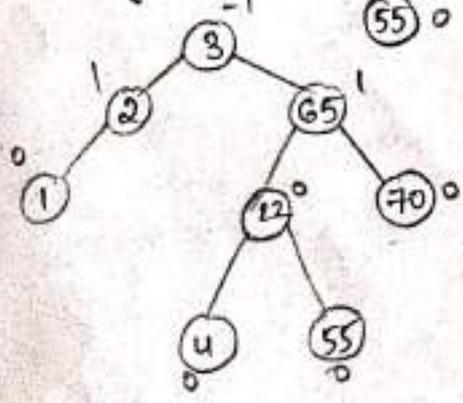
3) Insert 22



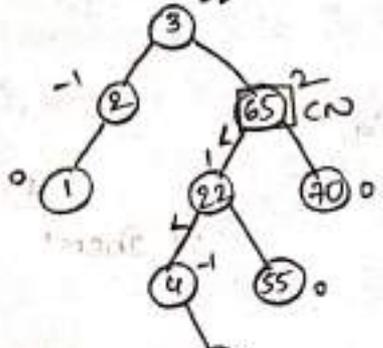
4) Insert 55



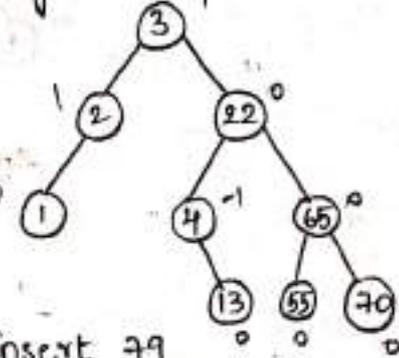
Apply RR



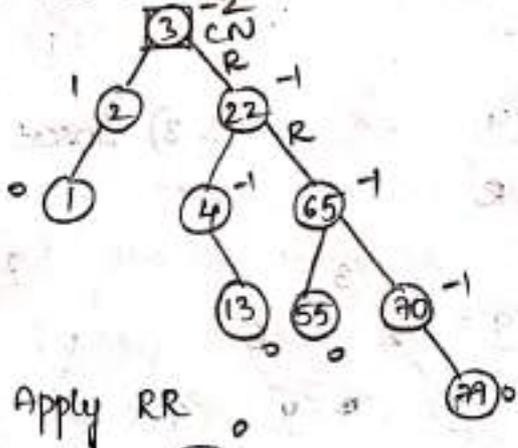
5) Insert 13



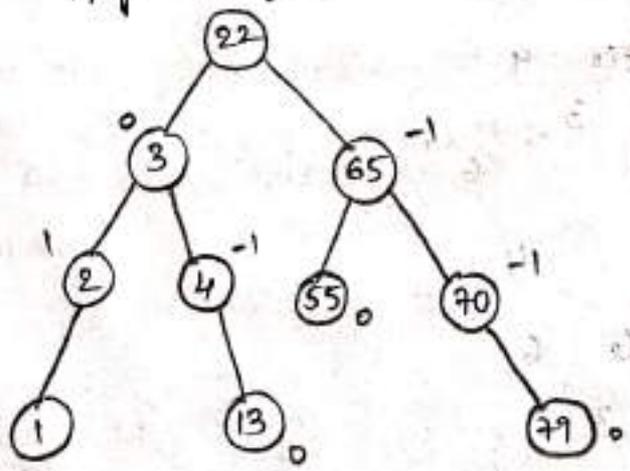
Apply LL



6) Insert 79

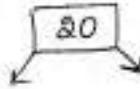


Apply RR



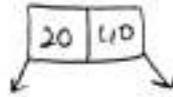
Binary tree

- max 2 children
- 1 data value



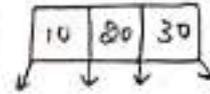
Ternary tree

- max 3 children
- max 2 data values



4-ary tree

- max 4 children
- max 3 data values



m-ary tree

- max m children
- max (m-1) data value

• Binary Search tree

- max 2 children
- 1 data value

Ternary Search tree

- max 3 children
- max 2 data values

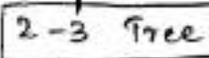
4-way Search tree

- max 4 children
- max 3 data values

m-way Search tree

• Balanced Binary Search tree

B-Tree of order 3



B-Tree of order 4

B-Tree of order m

Q: write about m-way Search trees.

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*What is B-Tree?

- B-Tree is a balanced m-way search tree.
- In a B-Tree of order m, every node has maximum m number of children and $(m-1)$ ^{data values} keys.

*Operations on B-Tree:-

1. Search
2. Insertion
3. Deletion
4. Traverse

*Sample node structure for a B-Tree of order 5:-

original view

10			
----	--	--	--

our view

10

10	80		
----	----	--	--

10	80
----	----

5	10	80	
---	----	----	--

5	10	80
---	----	----

5	10	15	80
---	----	----	----

5	10	15	80
---	----	----	----

* Construct B-tree of order 3 for the following keys:-
 80, 10, 60, 50, 70, 120, 100, 20, 140, 30, 40, 85, 45, 48, 90, 75, 55,

1) Insert 80

80

2) Insert 10

10 80

3) Insert 60

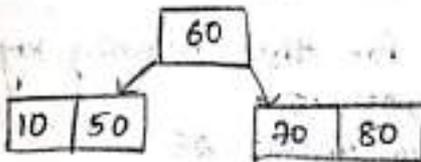
10 60 80

4) Insert 50

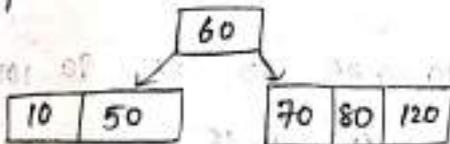
10 50 60 80

5) Insert 70

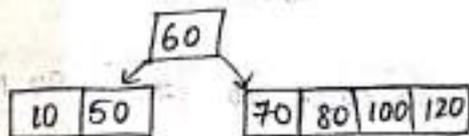
10 50 60 70 80



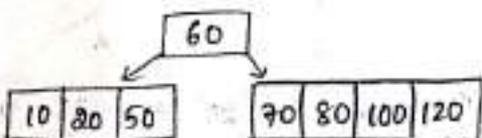
6) Insert 120



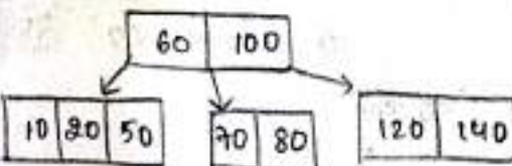
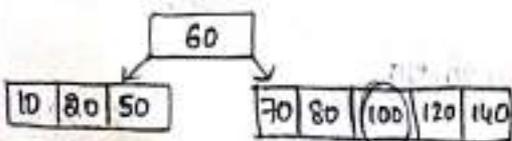
7) Insert 100



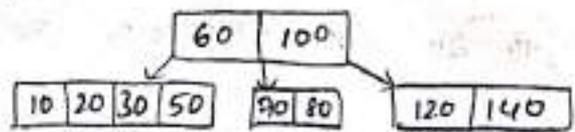
8) Insert 20



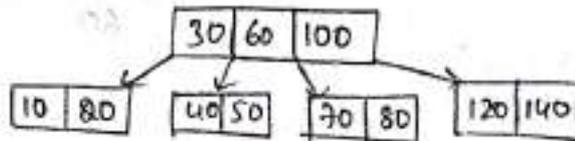
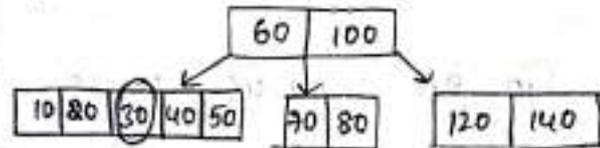
9) Insert 140



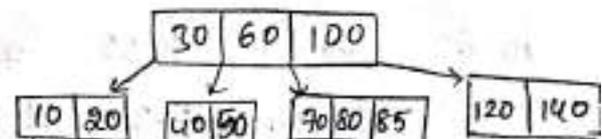
10) Insert 30



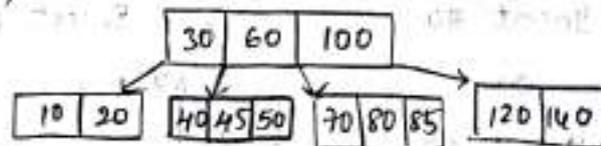
11) Insert 40



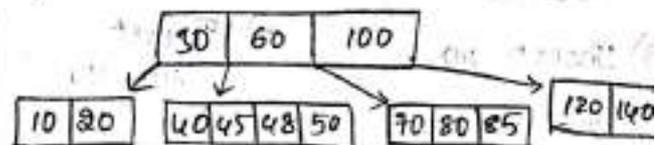
12) Insert 85



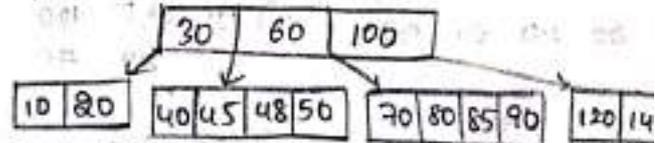
13) Insert 45



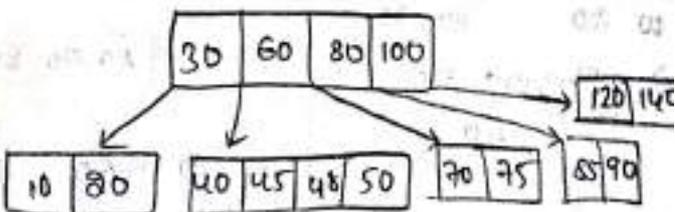
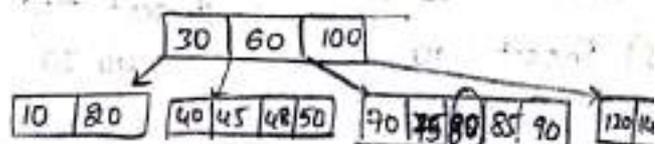
14) Insert 48



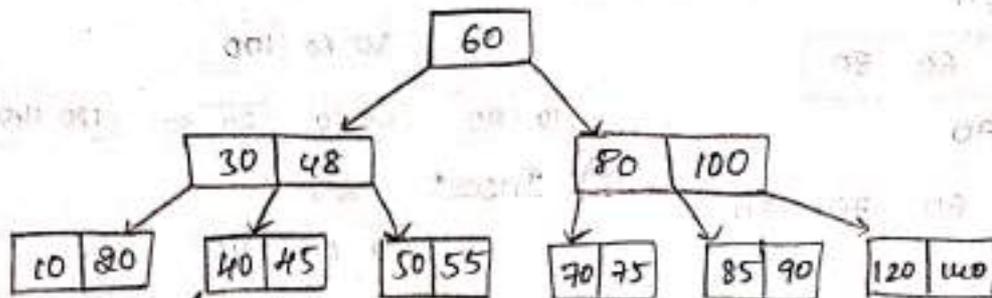
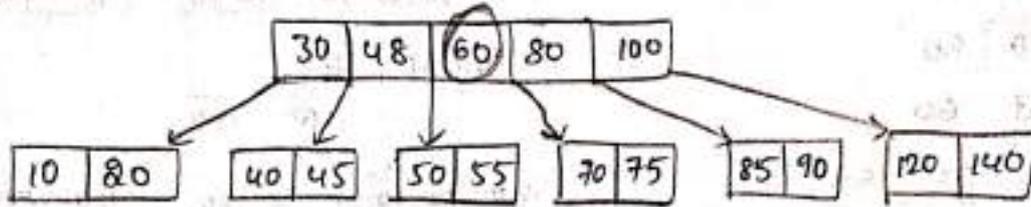
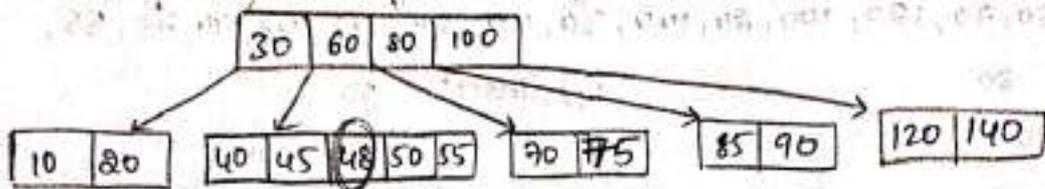
15) Insert 90



16) Insert 75



17) Insert 55

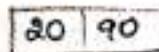


28-07-2025 Construct B-Tree of order 4 for the following keys
Monday 20, 90, 40, 80, 10, 70, 60, 100, 75, 25, 15

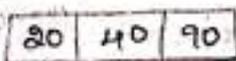
1) Insert 20



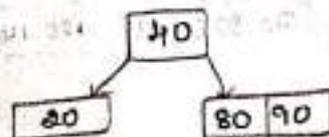
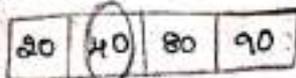
2) Insert 90



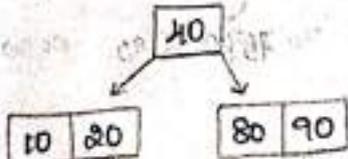
3) Insert 40



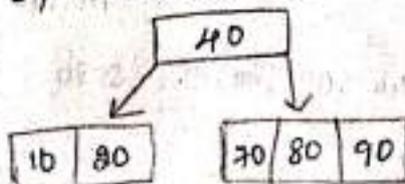
4) Insert 80



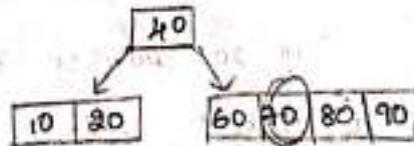
5) Insert 10



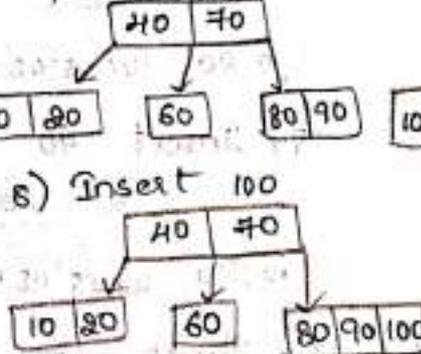
6) Insert 70



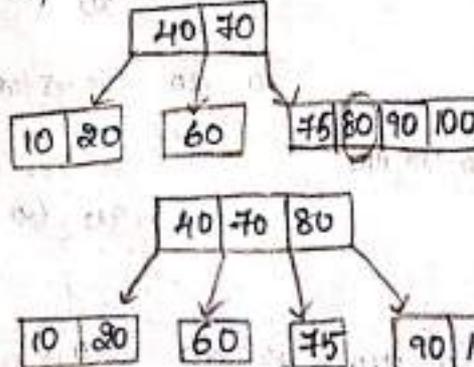
7) Insert 60



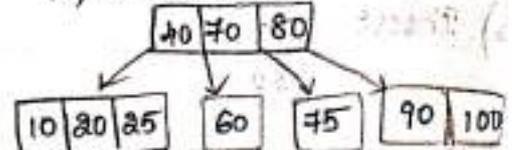
8) Insert 100



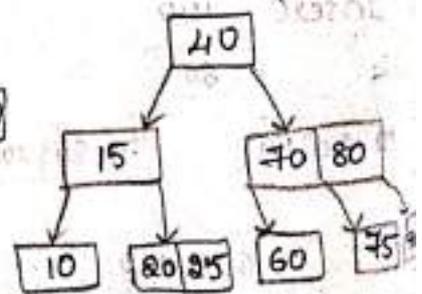
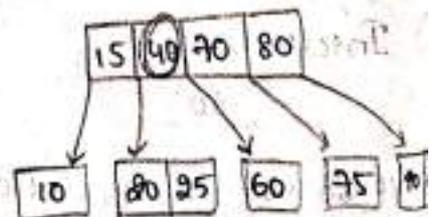
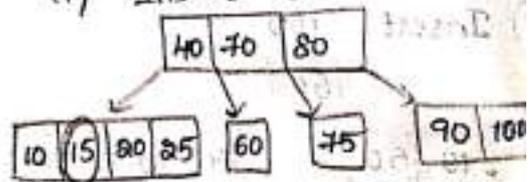
9) Insert 75



10) Insert 25



11) Insert 15



* B-Tree:-

- A B-Tree of order m is a m -way search tree with the following properties:
 - Every node in the B tree has at most m children
 - Every node in the B tree except the root node and leaf nodes has at least $m/2$ children.
 - The root node has at least two children if it is not a leaf node.
 - All leaf nodes are at the same level.

* B-Tree: Insertion

In a B-tree, all insertions are done at the leaf node level

Algorithm:-

Step 1: Do the search to determine which leaf node will hold a key.

Step 2: If leaf node have space, insert key in ascending order, otherwise split leaf node's keys into two nodes, and promote median key to the parent.

Step 3: If the parent node is full, recursively split and promote median key to its parent.

Step 4: If a promotion is made to a full root node, split and create a new root node holding only the promoted median key.

* 2-3 Tree:-

• A B-tree of order 3 is called 2-3 Tree.

• In 2-3 tree, every node has either 2 children or 3 children

Note: Every B-tree of order- m has atleast $\lceil \frac{m}{2} \rceil$ children.

$$\lceil \frac{m}{2} \rceil - 1 \text{ keys}$$

Here $m = 5$

$$\frac{m}{2} = \frac{5}{2} = 2.5 \div 3$$

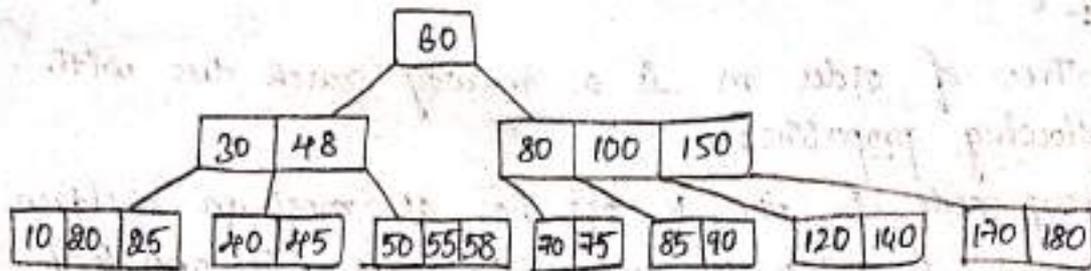
$$\frac{5}{2} - 1 = 3 - 1 = 2$$

Every node must have 3 children and 2 keys.

* Explain the deletion process in B-Tree:

Let us consider the following B-tree of order 5





Case 1:

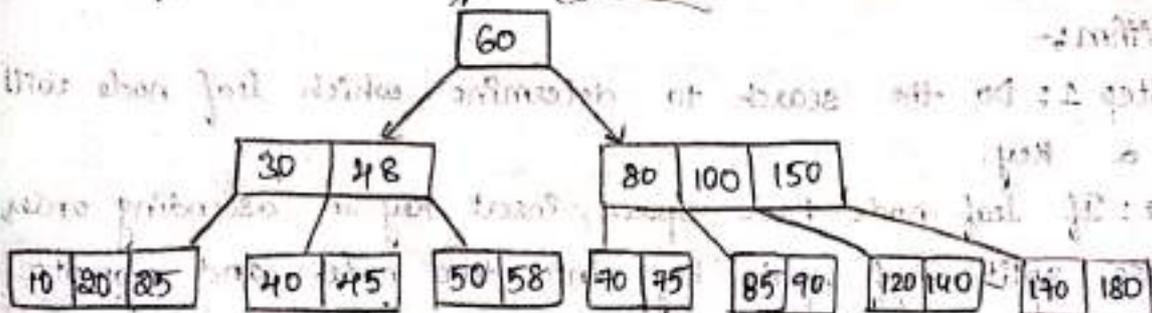
• The node is having more than minimum no. of trees

• let us delete 55:

→ The node [50, 55, 58] is having more than 2 keys.

→ Simply delete 55 from the node.

→ After deletion of 55 the tree looks like below:



Case 2:

• The node is having exactly minimum no. of keys

Case 2.1: Get help from siblings.

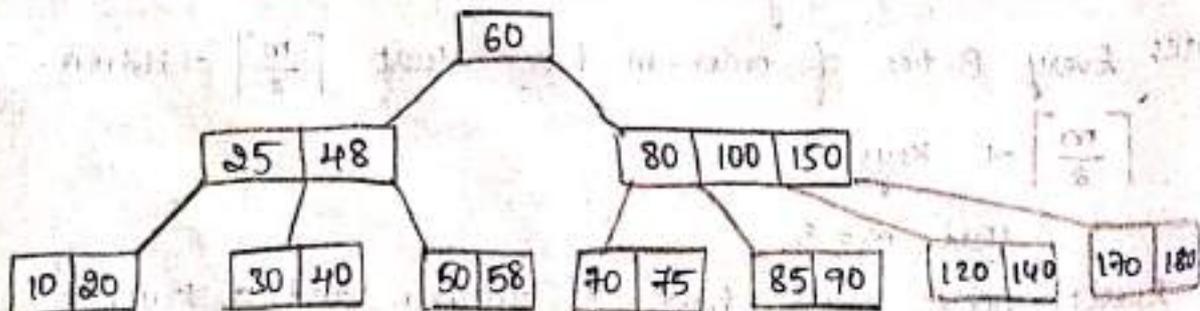
let us delete 45

→ After deletion of 45:

- The node [40] is less than 2 keys.

- Get the help from one of the siblings

node [10, 20, 25]

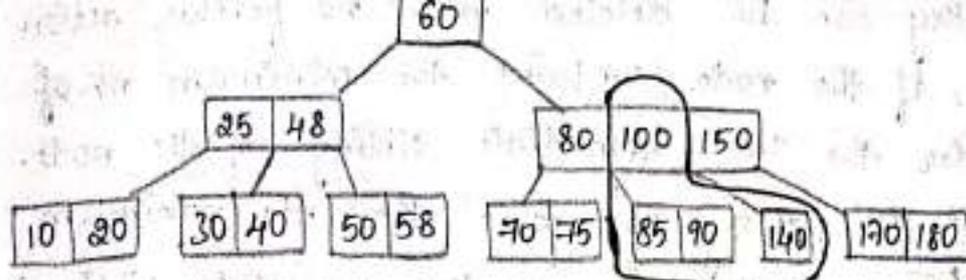


Case 2.2:

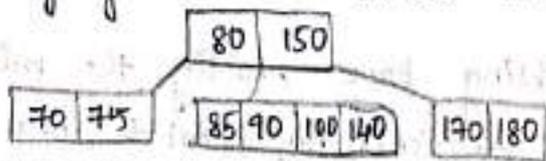
The siblings of the deficient node has exactly min no. of keys then get help from parent.

→ Let us delete 120 from the above tree:

After deletion of 120 The tree looks like



→ Get 100 from parent and merge with sibling [85, 90]
 → After merging the tree looks like below:



Case 3: When case 2.2 leaves the parent node as deficient node we will apply same process for parent node.

Case 4: If the value to be deleted is present in internal node.

→ First replace the value with inorder successor and then delete successor from leaf node.

Note:- → B-Tree of order m

1) Max no. of children = m

2) Max no. of keys = $m-1$

3) Min no. of children = $\lceil \frac{m}{2} \rceil$

4) Min no. of keys = $\lceil \frac{m}{2} \rceil - 1$

→ B-Tree of min degree t

1) Max no. of children = $2t$

2) Max no. of children keys = $2t-1$

3) min no. of children = t

4) min no. of keys = $t-1$

Eg B-tree with min degree 2 = B-tree of order 4.

B-tree with min degree 3 = B-tree of order 6.

* B-Tree: Deletion

Algorithm:-

1) If the key to be deleted is not in a leaf, swap it with successor or predecessor under the natural order of the keys, then delete the key from the leaf.

2) If leaf node contains more than the minimum no. of

keys, then key can be deleted with no further action

3) Otherwise, if the node contains the minimum no. of keys, consider the two immediate siblings of the node.

4) If one of the sibling has more than the minimum no. of keys, then redistribute one key from this sibling to the parent node and the one key from the parent to the deficient node.

5) If both immediate sibling have exactly the minimum no. of keys, then merge deficient node with one of the sibling node, and one entry from the parent node. *

6) If this leaves the parent node with too few keys, then the process is propagated upward. *